### ATIV - Machine Learning for Image Synthesis

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### Teaser 1



Stable Diffusion [Rombach et al.2022], Cody Blakeney (@code\_star)

Teaser 2



MeshCNN [Hanocka et al. 2019]

## Outline

### Introduction

- 2 General Formulation
- 3 Very small reminder on Convolutional Neural Networks
- 4 Solving Inverse Problems for Images
- 5 Generative problems
- 6 Generative Adversarial Networks (GAN)
- Denoising diffusion
- 3 Attention is all you need!

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### Problem

MS-COCO : 91 categories of objects. [Biederman 87]: around 10000 to 30000 common objects to model: a model for each of them Not doable in practice.

- Recognition/detection
  - Recognize objects in an image/video.

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- Locate an object in an image/video

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  - Generate an image that looks like a set of examples
  - Generate an image from a sketch given by a user.

### Supervised and Unsupervised learning

• Supervised Learning a set of data  $(x_i)_i$  and associated labels (ex: cat, car, house...)  $(l_i)_i$ , learn a function  $\hat{f}$  such that  $\hat{f}(x_i) = l_i$ .

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- Unsupervised Learning a set of data  $(x_i)_i$  without any label and learns from similarities between data.

Meanshift

- Meanshift
- K-means

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- Meanshift
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### Grouping problems

Unsupervised learning: no label provided for learning the classes.

## Is this object in the image?



- Recognition/Classification: Is there a bicycle in this image?
- Detection: Where is the bicycle in the image if any?



(a)



(c)

(d)

Özuysal et al. 2010]







C)Obeck https://www.flickr.com/photos/obeck/144795625/



[Aubry et al. 2014]

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General setup of a supervised machine learning problem

- Data: split into:
  - Training data
  - Evaluation data
  - Test data

• Given data and labels  $(x_i, l_i)_i$ , find f minimizing an objective function:

$$\sum_i (f(x_i) - l_i)^2$$

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• This is the  $\ell^2 \textit{loss}$  but several objective functions exist (also called loss)

# Underfitting and Overfitting



# Underfitting and Overfitting


## Precision and Recall

• **Precision**: how accurate is the classifier in detecting a positive example and not misclassifying.

 $\frac{\#\mathsf{True positives}}{\#\mathsf{True positives} + \#\mathsf{False positives}}$ 

• **Recall**: how accurate is the classifier in correctly detecting a positive example.

#True positives

#positive examples

Precision and recall curves are usually drawn with respect to the number of training iterations.

Other indicators

Bias, variance, confusion matrix...

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# Neural Network



- Each connection has a weight w
- Each neuron has a bias b and an activation function s (e.g. sigmoid).
- Output of a neuron s(wx + b)

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#### For images

Each pixel is an input to the net.

## Output

In classification cases, the neural network outputs a class the input image supposedly belongs to.

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## Cost function

For training samples, we evaluate how well the neural net performed via a cost function *C*: *Mean Square error*, *Cross-Entropy*...

### To optimize the cost C

Gradient descent with respect to weight  $w_i$  and bias  $b_i$  for each neuron i.

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## **Back-Propagation**

The gradient can be propagated back from the output to the input (chain rule).

# Back-propagation example

### Toy model

Compute the gradient of the cost with respect to each parameter.



# Back-propagation example

### Toy model

Compute the gradient of the cost with respect to each parameter.



• In practice start with random weights and bias.

# Convolutional Neural Networks

### Shared parameters

Dropping fully connected layers, CNN use convolutions by kernels with weights independent of the image location. These weights are optimized during training.

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# Convolution layer parameters

- Kernel size: controls the locality of the kernel
- Padding: increases the size of the input
- Dilatation: aggregates values from every *n* pixels where *n* is the dilatation. (eq to set some weights in the kernel to 0).
- Stride: performs the convolution centered every *n* pixels where *n* is the stride.

### Visualization

https://ezyang.github.io/convolution-visualizer/index.html

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Deep Image Prior [Ulyanov et al 2018]

**Inverse Problems** 

We know only a degraded version of an image, we want to recover the original one.

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### ML + Inverse Problems

Learn the inverse transform from example

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### Inverse Problems

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### Deep Image Prior

Not all statistics need to be learned from databases, a lot is captured by the structure of generative convolutional nets.

## Inverse problem: Super-resolution



(c) Bicubic, Not trained (d) Deep prior, Not trained

# Deep generator formulation

### Deep generator

A network parametered by  $\theta$  which maps an input code vector z to an image x:

 $x = f_{\theta}(z)$ 

## Usual DL approach

Knowledge about the distribution p(x) of x is encoded in  $\theta$  which should be optimized wrt to a database.

## Deep prior approach [Ulyanov 2018]

A significant amount of information is encoded in the structure of the generator network, even without training its parameters.

# Principle



[Ulyanov 2018]

# Energy formulation

### Inverse problem

 $x_0$  is observed, one wants to find x close to  $x_0$  but *better*:

$$x^* = argmin_x E(x, x_0) + R(x)$$

• *E* is an energy (e.g. 
$$E(x, x_0) = ||x - x_0||^2$$
)

• R is a regularization term (e.g.  $R(x) = ||x||_2$ , R(x) = TV(x)).

#### Deep Prior = regularizer

$$\theta^* = \operatorname{argmin}_{\theta} E(x, f_{\theta}(z) \text{ with } x^* = f_{\theta^*}(z)$$

# Denoising



# Inpainting







[Ulya nov 2018]

(a) Corrupted image

(b) Global-Local GAN [28]

(c) Ours, LR = 0.01

# JPEG artefact removal



• Stop before overfitting!

## More complex inpainting



(e) ResNet, depth=8

(f) U-net, depth=5

[Ulyanov 2018]

## Lab work

- Train your own deep prior network
- Encoder-decoder architecture with two skip connections
- First application: plain image reconstruction
- Applications: denoising and inpainting

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## Generative problems



https://sh-tsang.medium.com/review-dcgan-deep .corvolutional-generative-adversarial-network-gan-ec390cded63

## Generative Problems

#### Goal

Given a set of samples  $x_1, x_2, \dots, x_n$  (images, signals, animations...) learn a model  $p_{\theta}(x)$  of the true underlying distribution p(x).

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- In practice, we use some prior knowledge of the problem to model  $p_{\theta}$ .
- Optimize  $\theta$ , to minimize the difference between p and  $p_{\theta}$ .

# An almost-training-free approach

#### Idea

Use a pretrained CNN (ImageNet) and make the features resemble those of the target image (using gradient descent)

- Texture synthesis [Gatys et al. 2015]
- Style tranfer [Gatys et al. 2016].



Satys et al. 2016

#### Idea

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• Pixels 
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$$p_{\theta}(x_i) = \prod_i p(x_i | x_{i-1}, \cdots x_n)$$

#### Process

Generate pixels sequentially starting from a corner. Dependency on the previous pixels modeled by a Recurrent Neural Network (PixelRNN) or a Convolutional Neural Network (PixelCNN).
### **PixelRNN**



Samples trained on ImageNet, 64×64 images.

#### Pros and Cons

Pros: explicit model of  $p_{\theta}$ , Good evaluation metric Cons: slow because of sequential generation

Generative problems

mage from [Van der Oord et al. 2016]



### Goal

Given input data x produce z smaller than x that sums up x.



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Generative problems

### Latent space



### Problem

The data are not spread in the latent space and well clustered.

#### Generative problems

# Variational Auto-encoder [Kingma and Welling 2016]



#### Idea

Ensure that the data spreads well in the latent space.

# Variational Auto-encoder [Kingma and Welling 2016]



#### Idea

Ensure that the data spreads well in the latent space. Add some noise to embeddings in latent space and decode: the output should still be "valid".

#### In practice

Instead of learning a vector embedding, the encoder outputs a covariance and mean.

• To decode, we sample from a Gaussian distribution with predicted covariance and mean and compare the distributions using Kullback-Leibler divergence.

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# Objective function in a VAE

#### Minimization

Computing parameters  $\theta$ ,  $\phi$  maximizing:  $\mathcal{L}(x_i, \theta, \phi) = \log p_{\theta}(x_i) \ge E_{z \sim q_{\phi}(z|x_i)}[\log p_{\theta}(x_i|z)] - D_{KL}(q_{\phi}(z|x_i)||p_{\theta}(z))$ 

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•  $\mathcal{L}(x_i, \theta, \phi)$  is a lower bound of  $p_{\theta}(x_i)$ 

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#### Remark

Diagonal covariance for z yields independent latent variables corresponding to interpretable factors of variation.

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#### Remark

Diagonal covariance for z yields independent latent variables corresponding to interpretable factors of variation.

### Pros & Cons

Pros: Interpolation possible in latent space. Latent variables can be interpretable. Cons: Maximizes a lower bound of the likelihood, blurry results.

### VAE Applications



[Hou et al. 2016]

[Hou et al. 2016]

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Generative Adversarial Networks (GAN)

# GAN Principle

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- But we will be able to sample from it!
- Sample from a simple distribution and learn the transform to the training distribution.

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#### Generative Adversarial training

Admit you have an oracle  $\mathcal{D}$  that rates if an image I looks real  $(\mathcal{D}(I) = 1)$  or unreal  $(\mathcal{D}(I) = 0)$ . If you want to synthesize an image, you want this oracle to judge the synthesized image as real.

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#### • Saddly, we have no oracle *D* available.

# GAN



### 2 players Game

G tries to synthesize images that will fool D and D tries to distinguish between real images and fake images synthesized by G.

## GAN



### 2 players Game

G tries to synthesize images that will fool D and D tries to distinguish between real images and fake images synthesized by G.

### **Objective Function**

$$\min_{\theta_{G}} \max_{\theta_{D}} \mathsf{E}_{x \sim p_{data}(x)} [\log D_{\theta_{D}}(x)] + \mathsf{E}_{z \sim p_{prior}(z)} [\log(1 - D_{\theta_{D}}(G_{\theta_{G}}(z)))]$$

Where  $\theta_D$  (resp.  $\theta_G$ ) are the parameters of the discriminator (resp. generator).

# GAN training

### Alternate optimization

Alternate between

- Optimize parameters  $\theta_D$  by gradient ascent ( $\theta_G$  fixed).
- **②** Optimize parameters  $\theta_G$  by gradient descent ( $\theta_D$  fixed).

# GAN training

### Alternate optimization

Alternate between

- Optimize parameters  $\theta_D$  by gradient ascent ( $\theta_G$  fixed).
- **3** Optimize parameters  $\theta_G$  by gradient descent ( $\theta_D$  fixed).

 $/\!\!\square$   $/\!\!\square$ Do we need all the terms of the objective functions for the two steps?

# GAN training

### Alternate optimization

Alternate between

- Optimize parameters  $\theta_D$  by gradient ascent ( $\theta_G$  fixed).
- **3** Optimize parameters  $\theta_G$  by gradient descent ( $\theta_D$  fixed).

 $1 \longrightarrow 1$  Do we need all the terms of the objective functions for the two steps?

### Problem

In practice hard to optimize! Alternative:

- Optimize parameters  $\theta_D$  by gradient ascent ( $\theta_G$  fixed).
- **2** Optimize parameters  $\theta_G$  by gradient ascent ( $\theta_D$  fixed) with objective:

$$\max_{\theta_G} \mathsf{E}_{z \sim p_{prior}(z)} \log D_{\theta_D}(G_{\theta_G}(z))$$

# Training Algorithm

**Algorithm 1:** Training 1 for  $j = 1 \cdots N$  do for  $k = 1 \cdots K$  do 2 Sample a minibatch of *m* samples  $z_i$ ; 3 Sample a minibatch of *m* real samples  $x_i$ ; 4 Update  $\theta_D$ : 5  $heta_D = heta_D + 
u 
abla_{ heta_D} (\sum_{i=1} \log D_{ heta_D}(x_i) + \log(1 - D_{ heta_D}(G_{ heta_G}(z_i)))$ Sample a minibatch of *m* samples  $z_i$ ; 6 Update  $\theta_G$ : 7  $\theta_{G} = \theta_{G} + \nu \nabla_{\theta_{G}} (\sum_{i=1}^{m} \log(D_{\theta_{D}}(G_{\theta_{G}}(z_{i})))$ 

## Training Algorithm

Algorithm 2: Training 1 for  $j = 1 \cdots N$  do for  $k = 1 \cdots K$  do 2 Sample a minibatch of *m* samples  $z_i$ ; 3 Sample a minibatch of *m* real samples  $x_i$ ; 4 Update  $\theta_D$ : 5  $\theta_D = \theta_D + \nu \nabla_{\theta_D} (\sum_{i=1}^m \log D_{\theta_D}(x_i) + \log(1 - D_{\theta_D}(G_{\theta_G}(z_i)))$ Sample a minibatch of *m* samples  $z_i$ ; 6 Update  $\theta_G$ : 7  $heta_{\mathcal{G}} = heta_{\mathcal{G}} + 
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#### Generation

Sample z and generate 
$$\hat{x} = G(z)$$
.

Generative Adversarial Networks (GAN)



## Training Algorithm

Algorithm 3: Training 1 for  $j = 1 \cdots N$  do for  $k = 1 \cdots K$  do 2 Sample a minibatch of *m* samples  $z_i$ ; 3 Sample a minibatch of *m* real samples  $x_i$ ; 4 Update  $\theta_D$ : 5  $\theta_D = \theta_D + \nu \nabla_{\theta_D} (\sum_{i=1} \log D_{\theta_D}(\mathsf{x}_i) + \log(1 - D_{\theta_D}(G_{\theta_G}(z_i)))$ Sample a minibatch of *m* samples  $z_i$ ; 6 Update  $\theta_G$ : 7  $\theta_{G} = \theta_{G} + \nu \nabla_{\theta_{G}} (\sum_{i=1}^{m} \log(D_{\theta_{D}}(G_{\theta_{G}}(z_{i})))$ 

#### Generation

Sample z and generate  $\hat{x} = G(z)$ . D is not needed.

Generative Adversarial Networks (GAN)

Results


#### Generative Adversarial Networks (GAN)

### What are D and G?



## GAN analysis

### Pros and Cons

Pros: State-of-the-art results, difficult to quantify the quality of the results. Cons: Difficult to train, cannot produce the explicit density.

Architecture guidelines for stable Deep Convolutional GANs

- Replace any pooling layers with strided convolutions (discriminator) and fractional-strided convolutions (generator).
- Use batchnorm in both the generator and the discriminator.
- Remove fully connected hidden layers for deeper architectures.
- Use ReLU activation in generator for all layers except for the output, which uses Tanh.
- Use LeakyReLU activation in the discriminator for all layers.

Radford et al. 2016]

### Latent space arithmetic



[Radford et al. 2016]

### Latent space arithmetic



[Radford et al. 2016]

## Comparison: pixel space arithmetic



Radford et al. 2016]

# Conditional GANs

### cGAN idea

Condition G and D on some additional variable y. Feed y to both G and D.



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Condition G and D on some additional variable y. Feed y to both G and D.



Objective Function  $\min_{G} \max_{D} E_{x \sim p_{data}}[\log D(x|y)] + E_{z \sim p_{prior}}[(1 - \log D(G(z)|y))]$ 

Generative Adversarial Networks (GAN)

# Results of conditional GAN

User tags + annotations	Generated tags
montanha, trem, inverno, frio, people, male, plant life, tree, structures, trans- port, car	taxi, passenger, line, transportation, railway station, passengers, railways, signals, rail, rails
food, raspberry, delicious, homemade	chicken, fattening, cooked, peanut, cream, cookie, house made, bread, biscuit, bakes
water, river	creek, lake, along, near, river, rocky, treeline, val- ley, woods, waters
people, portrait, female, baby, indoor	love, people, posing, girl, to young, strangers, pretty, women, happy, life

# Conditioning on images

### Image-to-Image Translation [Isola et al. 2017]

y is now an image we want to transform (sketch to object, day to night, B/W to color...). Other formulation:

$$\begin{split} \min_{G} \max_{D} \mathsf{E}_{(x,y)\sim p_{data}}[\log D(x,y)] + \mathsf{E}_{y\sim p_{data},z\sim p_{prior}}[(1 - \log D(G(z,y)|y))] \\ + \lambda \,\mathsf{E}_{x,y,z}[\|x - G(z,y)\|_{1}] \end{split}$$

• Additional term favors resemblence to true result and produces better results [Pathak et al. 2014]

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# Denoising Diffusion for Image synthesis: Dall-E 2



A still of Homer Simpson in The Blair Witch Project

### Growing field

Dall-E 2 [Ramesh et al. 2022] -  $\sim$  April; Stable Diffusion [Rombach et al. 2022]  $\sim$  September (but also: Imagen...). Dates back to: [Sohl-Dickstein et al. 2015] [Ho et al. 2020]

# Example of Midjourner Result (Sept 2023)



a group of crazy french students attending a lecture on artificial intelligence

a crazy professor teaching artificial intelligence to his students in France ; surrealist

# Principle



[Ramesh et al. 2022]

### 2 stages:

- Learn a CLIP (text+image) embedding for a caption
- Generate an image from the image embedding

# CLIP [Radford et al. 2021]

1. Contrastive pre-training



Radford et al. 2021]

Learns which caption goes with which image.

#### Denoising diffusion

## Back to Dall-E

To build P(x|y)

- Learns a prior P(z<sub>i</sub>|y) that produces CLIP image embeddings z<sub>i</sub> conditioned on captions y.
- Learns a decoder  $P(x|z_i)$  or  $P(x|z_i, y)$

### Key Ingredient

Diffusion-based data generation

## Diffusion-based data generation



https://lilianweng.github.io/posts/2021-07-11-diffusion-models

Blur an image until you get a noisy image, learn the reverse process

Denoising diffusion

## **Diffusion Process**

- Given  $x_O \sim q(x_0)$ , generate a Markov chain by adding noise  $p(x_t|x_{t-1}) = \mathcal{N}(x_t; \sqrt{\alpha_t}x_{t-1}, (1 \sqrt{\alpha_t})I)$
- If the noise is large enough  $x_T$  can be sampled using  $\mathcal{N}(0, I)$
- Iteratively remove the noise by learning a model  $\mathcal{N}(\mu(x_t), \Sigma(x_t))$ approximating the true posterior  $p(x_{t-1}|x_t)$
- Better: predict the added noise minimizing

$$L_{simple} = \mathbb{E}_{t \sim [0, T], x_0 \sim q(x_0), \varepsilon \sim \mathcal{N}(0, I)} [\|\varepsilon - \varepsilon_{\theta}(x_t, t)\|^2]$$

## Some more details

- Generate 64x64 images
- Upsampling through two Diffusion-based upsampler models (256×256, 1024×1024).

### Results



vibrant poetrait painting of Salvador Dalí with a robotic half face



a shiba inu wearing a beret and black turtleneck



a close up of a handpalm with leaves growing from it











a dolphin in an astronaut suit on saturn, artistation



a propaganda poster depicting a cat dressed as french emperor napoleon holding a piece of cheese



a teddy bear on a skateboard in times square

# Decoding an input image



Ramesh et al. 2022]

# Interpolation



[Ramesh et al. 2022]

## Text differences



a photo of a cat  $\rightarrow$  an anime drawing of a super saiyan cat, artstation



a photo of a victorian house  $\rightarrow$  a photo of a modern house



a photo of an adult lion  $\rightarrow$  a photo of lion cub



a photo of a landscape in winter  $\rightarrow$  a photo of a landscape in fall

amesh et al. 2022]

# Outline

### Introduction

- 2 General Formulation
- Very small reminder on Convolutional Neural Networks
- ④ Solving Inverse Problems for Images
- 5 Generative problems
- 6 Generative Adversarial Networks (GAN)
- Denoising diffusion
- 8 Attention is all you need!

# A different architecture: Transformers [Vaswani 2017]

- State of the art technique in Natural Language Processing
- Extended to Vision
- Extended to Geometry
- Extended to multi-modalities

# A different architecture: Transformers [Vaswani 2017]

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### A new and non local way to encode information

Build a summary representation (output) of a set of signals (values) relative to a specific signal (query).

## Attention

• The output o is a weighted sum of the values  $v_i$ , weights depending on the query q

$$o = \sum_{i} w_i v_i$$
 and  $w_i = softmax(q^T v_i)$ 

• More generic differentiate between *values* to aggregate and *keys* to compare:

$$o = \sum_{i} w_i v_i$$
 and  $w_i = softmax(q^T k_i)$ 

- Self-attention: Q=V
- Transformer [vaswani 2017] based on encoding and decoding (+ multihead attention + positional encoding).

# Vision Transformer



[Dosovitskyi 2017]

## Vision Transformer - attentions



## Some reading

- *The Elements of Statistical Learning*, Trevor Hastie, Robert Tibshirani, Jerome Friedman, Springer, 2009.
- Sparse Modeling for Image and Vision Processing, Mairal, Bach, Ponce, Foundations and Trends in Computer Graphics and Vision, 2014.
- Deep Learning, Goodfellow et al., MIT Press, 2016. http://www.deeplearningbook.org/
- Deep Learning, a visual approach, Andrew Glassner, 2021.