

# Exact distance coloring in trees

(and other questions)

Nicolas Bousquet

with L. Esperet, A. Harutyunyan and R. de Joannis de Verclos

Kick-off Projet DISTANCIA



## Unit distance coloring

**Question** (Hadwiger 1945, Nelson 1950, Gardner (1960))

What is the fewest number of colors needed to color the **points of  $\mathbb{R}^2$** , such that every two points at **unit distance** have different colors?

We denote the optimal value by  $\chi(\mathbb{R}, 1)$ .

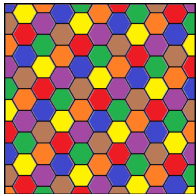
## Unit distance coloring

**Question** (Hadwiger 1945, Nelson 1950, Gardner (1960))

What is the fewest number of colors needed to color the **points of  $\mathbb{R}^2$** , such that every two points at **unit distance** have different colors?

We denote the optimal value by  $\chi(\mathbb{R}, 1)$ .

At most 7 :



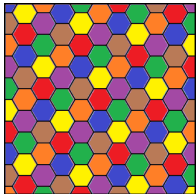
# Unit distance coloring

**Question** (Hadwiger 1945, Nelson 1950, Gardner (1960))

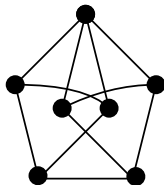
What is the fewest number of colors needed to color the **points of  $\mathbb{R}^2$** , such that every two points at **unit distance** have different colors?

We denote the optimal value by  $\chi(\mathbb{R}, 1)$ .

At most 7 :



At least 4 : Moser spindle



## Partial results

- [Thomassen 1999] If the coloring of corresponds to a coloring of the faces of some (infinite) planar graph, then **at least 7** colors are needed.

Informally : if each color class is reasonably well-structured with an interior...etc... We need 7 colors.

## Partial results

- [Thomassen 1999] If the coloring of corresponds to a coloring of the faces of some (infinite) planar graph, then **at least 7** colors are needed.

Informally : if each color class is reasonably well-structured with an interior...etc... We need 7 colors.

- [Shelah–Soifer 2003] If each color class is measurable, **at least 5 colors** are needed.

So if we do not have the axiom choice, 5 colors are needed...

## Partial results

- [Thomassen 1999] If the coloring of corresponds to a coloring of the faces of some (infinite) planar graph, then **at least 7** colors are needed.

Informally : if each color class is reasonably well-structured with an interior...etc... We need 7 colors.

- [Shelah–Soifer 2003] If each color class is measurable, **at least 5 colors** are needed.

So if we do not have the axiom choice, 5 colors are needed...



[De Grey] There exists a unit distance graph on **1567 vertices** which is **not 4-colorable**. (Computer assisted proof)

## Partial results

- [Thomassen 1999] If the coloring of corresponds to a coloring of the faces of some (infinite) planar graph, then **at least 7** colors are needed.

Informally : if each color class is reasonably well-structured with an interior...etc... We need 7 colors.

- [Shelah–Soifer 2003] If each color class is measurable, **at least 5 colors** are needed.

So if we do not have the axiom choice, 5 colors are needed...



[De Grey] There exists a unit distance graph on **1567 vertices** which is **not 4-colorable**. (Computer assisted proof)



**Remark :** For some well-chosen adjacency relation in  $\mathbb{R}$ ,  $\mathbb{R}$  is either 2 colorable (with the axiom choice) or not colorable with  $\mathbb{N}$  colors (without it).



## Coloring hyperbolic spaces

Given a metric space  $(X, d)$ , an **exact coloring at distance  $p$**  is a coloring  $c$  of  $X$  such that, for every  $x, y \in X$ ,  $c(x) \neq c(y)$  if  $d(x, y) = p$ .

**Remark :**  $\chi(\mathbb{R}^2, 1) = \chi(\mathbb{R}^2, p)$ .

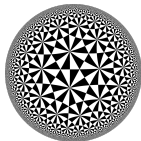
## Coloring hyperbolic spaces

Given a metric space  $(X, d)$ , an **exact coloring at distance  $p$**  is a coloring  $c$  of  $X$  such that, for every  $x, y \in X$ ,  $c(x) \neq c(y)$  if  $d(x, y) = p$ .

**Remark :**  $\chi(\mathbb{R}^2, 1) = \chi(\mathbb{R}^2, p)$ .

What about spaces not closed under dilatation ?

**Hyperbolic spaces**



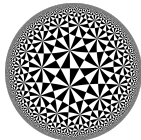
## Coloring hyperbolic spaces

Given a metric space  $(X, d)$ , an **exact coloring at distance  $p$**  is a coloring  $c$  of  $X$  such that, for every  $x, y \in X$ ,  $c(x) \neq c(y)$  if  $d(x, y) = p$ .

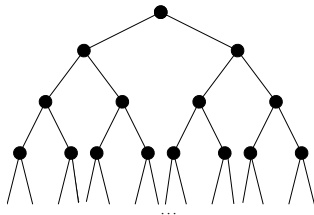
**Remark :**  $\chi(\mathbb{R}^2, 1) = \chi(\mathbb{R}^2, p)$ .

What about spaces not closed under dilatation ?

**Hyperbolic spaces**



“Natural” representation of hyperbolic spaces :  $q$ -ary trees.



## Coloring $q$ -ary trees

$T_q$  = infinite  $q$ -ary tree.

**Theorem** (Parlier and Petit, 17+)

If  $p$  is odd, then  $\chi(T_q, p) = 2$ .

If  $p$  is even, then

$$q + 1 \leq \chi(T_q, p) \leq (q - 1)(p + 1).$$

## Coloring $q$ -ary trees

$T_q$  = infinite  $q$ -ary tree.

**Theorem** (Parlier and Petit, 17+)

If  $p$  is odd, then  $\chi(T_q, p) = 2$ .

If  $p$  is even, then

$$q + 1 \leq \chi(T_q, p) \leq (q - 1)(p + 1).$$

**Question** : Is  $T_q^p$  colorable with  $f(q)$  colors?

## Coloring $q$ -ary trees

$T_q$  = infinite  $q$ -ary tree.

**Theorem** (Parlier and Petit, 17+)

If  $p$  is odd, then  $\chi(T_q, p) = 2$ .

If  $p$  is even, then

$$q + 1 \leq \chi(T_q, p) \leq (q - 1)(p + 1).$$

**Question** : Is  $T_q^p$  colorable with  $f(q)$  colors?

**Theorem** (B., Esperet, Harutyunyan, de Joannis de Verclos, 17+)

If  $p$  is even, then

$$\left(\frac{1}{4} - o(1)\right) \frac{p \log(q-1)}{\log p} \leq \chi(T_q, p) \leq (2 + o(1)) \frac{p \log(q-1)}{\log p}$$

## Coloring $q$ -ary trees

$T_q$  = infinite  $q$ -ary tree.

**Theorem** (Parlier and Petit, 17+)

If  $p$  is odd, then  $\chi(T_q, p) = 2$ .

If  $p$  is even, then

$$q + 1 \leq \chi(T_q, p) \leq (q - 1)(p + 1).$$

**Question** : Is  $T_q^p$  colorable with  $f(q)$  colors?

**Theorem** (B., Esperet, Harutyunyan, de Joannis de Verclos, 17+)

If  $p$  is even, then

$$\left(\frac{1}{4} - o(1)\right) \frac{p \log(q-1)}{\log p} \leq \chi(T_q, p) \leq (2 + o(1)) \frac{p \log(q-1)}{\log p}$$

**Remark** : Lower bound still true if we cut  $T_q$  at depth  $p$ .

## Coloring bounded expansion classes

### Theorem

For any bounded expansion class  $\mathcal{G}$  and any odd integer  $p$  :

$$\chi(G, p) \leq f(\mathcal{G}, p).$$



## Coloring bounded expansion classes

### Theorem

For any bounded expansion class  $\mathcal{G}$  and any odd integer  $p$  :

$$\chi(G, p) \leq f(\mathcal{G}, p).$$

**Remark :** False if  $p$  is even, e.g. on stars.

## Coloring bounded expansion classes

### Theorem

For any bounded expansion class  $\mathcal{G}$  and any odd integer  $p$  :

$$\chi(G, p) \leq f(\mathcal{G}, p).$$

**Remark :** False if  $p$  is even, e.g. on stars.

### Question (van den Heuvel, Naserasr)

Does it exist a constant  $C$  such that,  $\chi(G, p) \leq C$  for any planar graph  $G$  and odd integer  $p$ ?

## Coloring bounded expansion classes

### Theorem

For any bounded expansion class  $\mathcal{G}$  and any odd integer  $p$  :

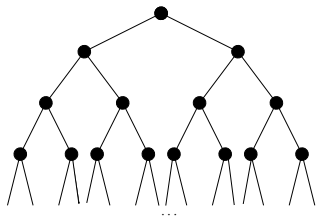
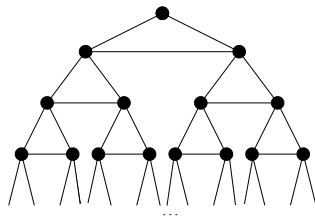
$$\chi(G, p) \leq f(\mathcal{G}, p).$$

**Remark :** False if  $p$  is even, e.g. on stars.

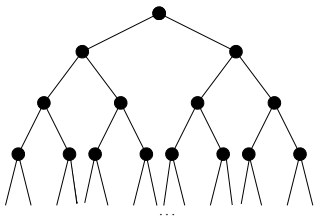
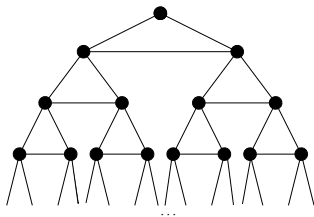
### Question (van den Heuvel, Naserasr)

Does it exist a constant  $C$  such that,  $\chi(G, p) \leq C$  for any planar graph  $G$  and odd integer  $p$ ?

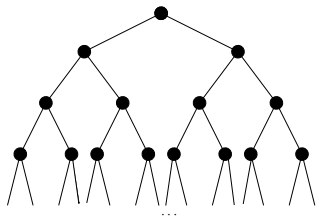
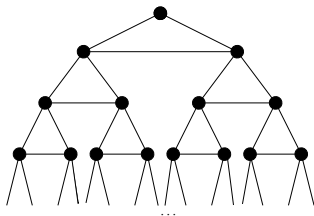
**NO** (B., Esperet, Harutyunyan, de Joannis de Verclos).

The graph  $T_2$ The graph  $H_2$ 

- $H = \text{Graph } H_2 \text{ restricted to nodes of depth at most } p - 1.$

The graph  $T_2$ The graph  $H_2$ 

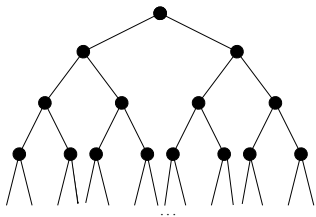
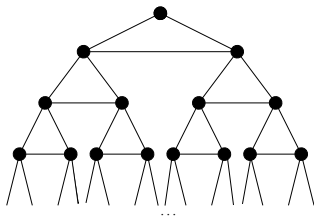
- $H =$  Graph  $H_2$  restricted to nodes of depth at most  $p - 1$ .
- Vertices at distance  $p - 1$  in  $H$  are at distance  $p$  in  $T_2$ .  
 $\Rightarrow \chi(H, p - 1) \geq \chi(T_2, p)$ . (up to an additional constant of 1)

The graph  $T_2$ The graph  $H_2$ 

- $H =$  Graph  $H_2$  restricted to nodes of depth at most  $p - 1$ .
- Vertices at distance  $p - 1$  in  $H$  are at distance  $p$  in  $T_2$ .  
 $\Rightarrow \chi(H, p - 1) \geq \chi(T_2, p)$ . (up to an additional constant of 1)

Since  $(\frac{1}{4} - o(1)) \frac{p \log(q-1)}{\log p} \leq \chi(T_q, p)$ , we have :

**Corollary :**  $\chi(H, p)$  is not bounded by a universal constant.

The graph  $T_2$ The graph  $H_2$ 

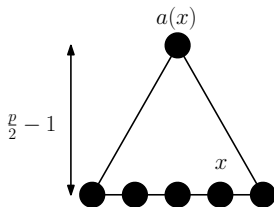
- $H =$  Graph  $H_2$  restricted to nodes of depth at most  $p - 1$ .
- Vertices at distance  $p - 1$  in  $H$  are at distance  $p$  in  $T_2$ .  
 $\Rightarrow \chi(H, p - 1) \geq \chi(T_2, p)$ . (up to an additional constant of 1)

Since  $(\frac{1}{4} - o(1)) \frac{p \log(q-1)}{\log p} \leq \chi(T_q, p)$ , we have :

**Corollary :**  $\chi(H, p)$  is not bounded by a universal constant.

**Remark :** The same question was raised for chordal graphs. It also provides a counter-example to this question.

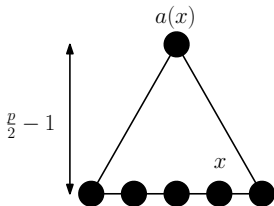
$$\chi(T_q, p) \leq q + p + 1$$



- $a(x)$  = ancestor of  $x$  at distance  $p/2 - 1$ .

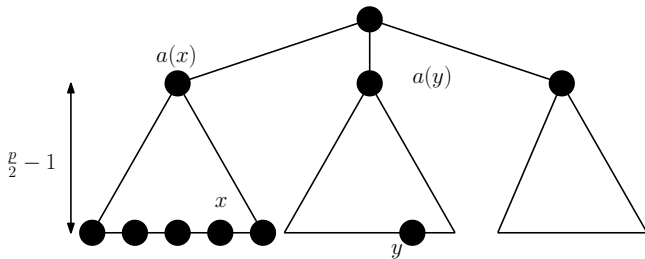


$$\chi(T_q, p) \leq q + p + 1$$



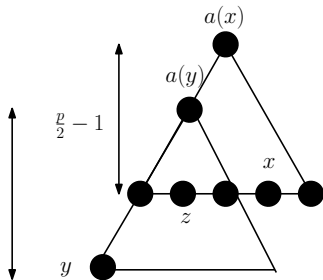
- $a(x)$  = ancestor of  $x$  at distance  $p/2 - 1$ .
- If  $a(x) = a(y) \Rightarrow yx \notin E$ .

$$\chi(T_q, p) \leq q + p + 1$$



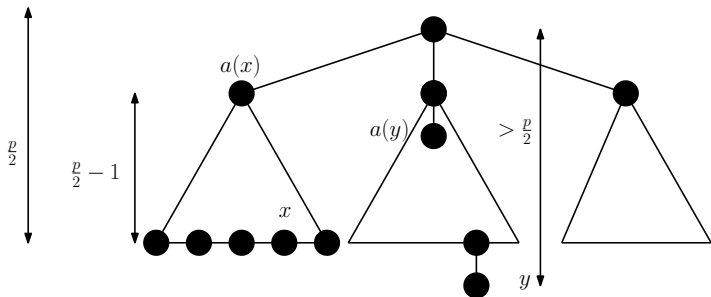
- $a(x)$  = ancestor of  $x$  at distance  $p/2 - 1$ .
- If  $a(x) = a(y) \Rightarrow yx \notin E$ .
- If  $a(x)$  and  $a(y)$  are brothers  $\Rightarrow xy \in E$ .

$$\chi(T_q, p) \leq q + p + 1$$



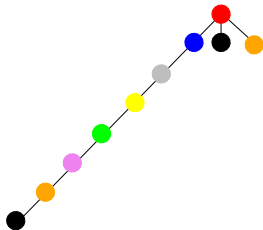
- $a(x)$  = ancestor of  $x$  at distance  $p/2 - 1$ .
- If  $a(x) = a(y) \Rightarrow yx \notin E$ .
- If  $a(x)$  and  $a(y)$  are brothers  $\Rightarrow xy \in E$ .
- If  $a(x)$  is an ancestor of  $a(y)$  at dist.  $\leq p \Rightarrow xy$  might be in  $E$ .

$$\chi(T_q, p) \leq q + p + 1$$



- $a(x)$  = ancestor of  $x$  at distance  $p/2 - 1$ .
- If  $a(x) = a(y) \Rightarrow yx \notin E$ .
- If  $a(x)$  and  $a(y)$  are brothers  $\Rightarrow xy \in E$ .
- If  $a(x)$  is an ancestor of  $a(y)$  at dist.  $\leq p \Rightarrow xy$  might be in  $E$ .
- In all the other cases  $\Rightarrow xy \notin E$ .

## Tree coloring



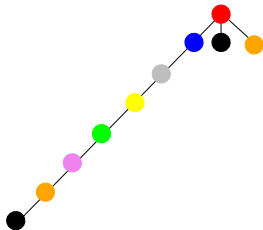
### Lemma :

Any coloring of the tree where :

- Siblings are colored differently,
- No vertex is colored with the color of one of its ancestors up to distance  $p$  provides a coloring,

can be transformed into an exact coloring at distance  $p$ .

## Tree coloring



### Lemma :

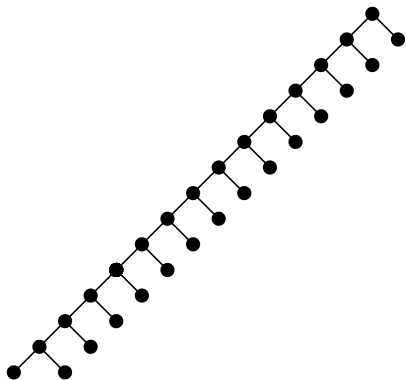
Any coloring of the tree where :

- Siblings are colored differently,
- No vertex is colored with the color of one of its ancestors up to distance  $p$  provides a coloring,

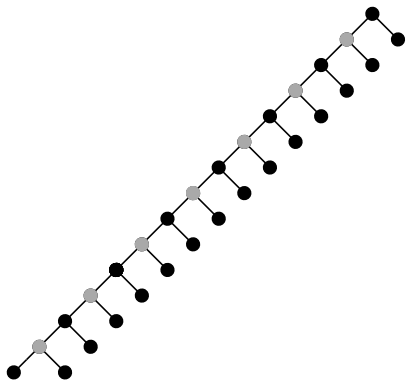
can be transformed into an exact coloring at distance  $p$ .

**Lemma :** Such a coloring can be constructed with a BFS.

$$\chi(T_q, p) \geq \log p$$



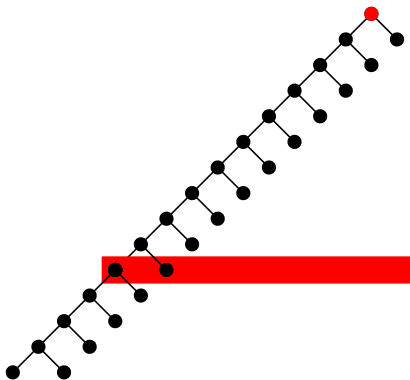
$$\chi(T_q, p) \geq \log p$$



Only consider the odd vertices of the leftmost path of depth  $\leq p/2$ .



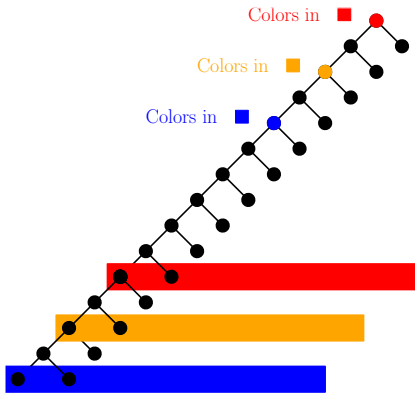
$$\chi(T_q, p) \geq \log p$$



Only consider the odd vertices of the leftmost path of depth  $\leq p/2$ .

$S(x)$  = Colors of vertices at depth  $p/2$  from  $x$ .

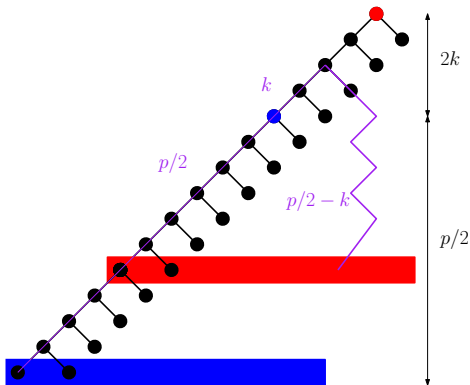
$$\chi(T_q, p) \geq \log p$$



Only consider the odd vertices of the leftmost path of depth  $\leq p/2$ .

$S(x)$  = Colors of vertices at depth  $p/2$  from  $x$ .

$$\chi(T_q, p) \geq \log p$$

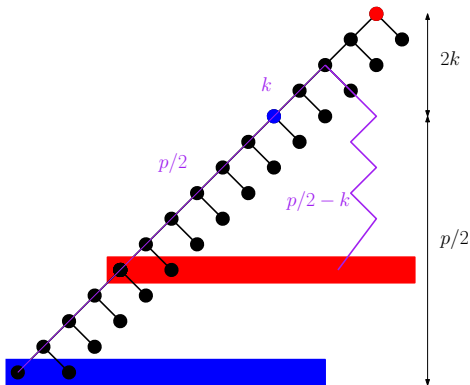


Only consider the odd vertices of the leftmost path of depth  $\leq p/2$ .

$S(x)$  = Colors of vertices at depth  $p/2$  from  $x$ .

If  $S(\bullet) = S(\bullet) \Rightarrow$  Contradiction.

$$\chi(T_q, p) \geq \log p$$



Only consider the odd vertices of the leftmost path of depth  $\leq p/2$ .

$S(x)$  = Colors of vertices at depth  $p/2$  from  $x$ .

If  $S(\bullet) = S(\circ) \Rightarrow$  Contradiction.

If all the vertices have distinct sets :  $\Rightarrow \geq \log p$  colors.

## Ingredients of the improved version

For each color  $c$  we define :  $L_c(u)$  = List of depths (in the original graph) between  $p/2$  and  $p$  of vertices colored  $c$  in the subtree rooted in  $u$ .

## Ingredients of the improved version

For each color  $c$  we define :  $L_c(u)$  = List of depths (in the original graph) between  $p/2$  and  $p$  of vertices colored  $c$  in the subtree rooted in  $u$ .

**Remark :** If  $d(u) = \frac{p-i-j}{2}$  and  $\{i,j\} \subseteq L_c(u)$  then :

- One child  $v$  of  $u$  contains  $\{i,j\}$  in  $L_c(v)$ .
- All the other children of  $u$  contain neither  $u$  nor  $v$ .

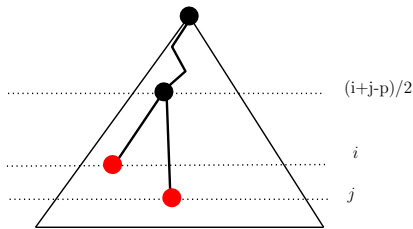
## Ingredients of the improved version

For each color  $c$  we define :  $L_c(u)$  = List of depths (in the original graph) between  $p/2$  and  $p$  of vertices colored  $c$  in the subtree rooted in  $u$ .

**Remark :** If  $d(u) = \frac{p-i-j}{2}$  and  $\{i, j\} \subseteq L_c(u)$  then :

- One child  $v$  of  $u$  contains  $\{i, j\}$  in  $L_c(v)$ .
- All the other children of  $u$  contain neither  $u$  nor  $v$ .

**Proof :**



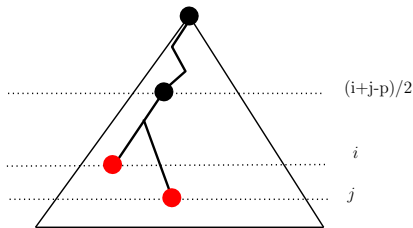
## Ingredients of the improved version

For each color  $c$  we define :  $L_c(u)$  = List of depths (in the original graph) between  $p/2$  and  $p$  of vertices colored  $c$  in the subtree rooted in  $u$ .

**Remark :** If  $d(u) = \frac{p-i-j}{2}$  and  $\{i, j\} \subseteq L_c(u)$  then :

- One child  $v$  of  $u$  contains  $\{i, j\}$  in  $L_c(v)$ .
- All the other children of  $u$  contain neither  $u$  nor  $v$ .

**Proof :**





## Auxiliary graph

Let  $u_k$  at depth  $k$ . We define the following graph  $G_{u_k}^c$  :

- The vertex set of  $G_u^c$  is  $L_c(u)$  ;
- There is an edge  $ij$  if  $\frac{i+j-p}{2} < \text{depth}(u)$ .

## Auxiliary graph

Let  $u_k$  at depth  $k$ . We define the following graph  $G_{u_k}^c$  :

- The vertex set of  $G_u^c$  is  $L_c(u)$  ;
- There is an edge  $ij$  if  $\frac{i+j-p}{2} < \text{depth}(u)$ .

**Remarks :** If  $u_{k+1}$  is a child of  $u_k$  then

- **[Decreasing Vertex Set]**, i.e.  $V(G_{u_{k+1}}^c) \subseteq V(G_{u_k}^c)$ .

## Auxiliary graph

Let  $u_k$  at depth  $k$ . We define the following graph  $G_{u_k}^c$  :

- The vertex set of  $G_u^c$  is  $L_c(u)$  ;
- There is an edge  $ij$  if  $\frac{i+j-p}{2} < \text{depth}(u)$ .

**Remarks :** If  $u_{k+1}$  is a child of  $u_k$  then

- **[Decreasing Vertex Set]**, i.e.  $V(G_{u_{k+1}}^c) \subseteq V(G_{u_k}^c)$ .
- **[Increasing Edge Set]**, i.e. for  $u, v$  in  $G_{u_{k+1}}^c$ , if  $uv \in E(G_{u_k}^c)$  then  $uv \in E(G_{u_{k+1}}^c)$ .

## Auxiliary graph

Let  $u_k$  at depth  $k$ . We define the following graph  $G_{u_k}^c$  :

- The vertex set of  $G_u^c$  is  $L_c(u)$  ;
- There is an edge  $ij$  if  $\frac{i+j-p}{2} < \text{depth}(u)$ .

**Remarks :** If  $u_{k+1}$  is a child of  $u_k$  then

- **[Decreasing Vertex Set]**, i.e.  $V(G_{u_{k+1}}^c) \subseteq V(G_{u_k}^c)$ .
- **[Increasing Edge Set]**, i.e. for  $u, v$  in  $G_{u_{k+1}}^c$ , if  $uv \in E(G_{u_k}^c)$  then  $uv \in E(G_{u_{k+1}}^c)$ .

The energy of  $G_u$  is :

$$\mathcal{E}_u = \sum_{i \in G_u^c} (q-1)^{\text{deg}(v)}.$$

## Auxiliary graph

Let  $u_k$  at depth  $k$ . We define the following graph  $G_{u_k}^c$  :

- The vertex set of  $G_u^c$  is  $L_c(u)$  ;
- There is an edge  $ij$  if  $\frac{i+j-p}{2} < \text{depth}(u)$ .

**Remarks :** If  $u_{k+1}$  is a child of  $u_k$  then

- **[Decreasing Vertex Set]**, i.e.  $V(G_{u_{k+1}}^c) \subseteq V(G_{u_k}^c)$ .
- **[Increasing Edge Set]**, i.e. for  $u, v$  in  $G_{u_{k+1}}^c$ , if  $uv \in E(G_{u_k}^c)$  then  $uv \in E(G_{u_{k+1}}^c)$ .

The energy of  $G_u$  is :

$$\mathcal{E}_u = \sum_{i \in G_u^c} (q-1)^{\text{deg}(v)}.$$

**Technical lemma :**  $\mathbb{E}(\mathcal{E}_{u_k})$  is decreasing when we perform a (descending) random walk.

## End of the proof

$$\mathcal{E}_u = \sum_{i \in G_u^c} (q-1)^{\deg(v)}.$$

- $G_{u_0}^c$  is an independent set on at most  $p/2$  vertices.

## End of the proof

$$\mathcal{E}_u = \sum_{i \in G_u^c} (q-1)^{\deg(v)}.$$

- $G_{u_0}^c$  is an independent set on at most  $p/2$  vertices.
- $G_{u_{p/2}}^c$  is a clique.

## End of the proof

$$\mathcal{E}_u = \sum_{i \in G_u^c} (q-1)^{\deg(v)}.$$

- $G_{u_0}^c$  is an independent set on at most  $p/2$  vertices.
- $G_{u_{p/2}}^c$  is a clique.

**Corollary :** The average number of vertices in  $G_{u_{p/2}}^c$  is at most  $\frac{\log(d/2)}{\log(q-1)}$ .



## End of the proof

$$\mathcal{E}_u = \sum_{i \in G_u^c} (q-1)^{\deg(v)}.$$

- $G_{u_0}^c$  is an independent set on at most  $p/2$  vertices.
- $G_{u_{p/2}}^c$  is a clique.

**Corollary :** The average number of vertices in  $G_{u_{p/2}}^c$  is at most  $\frac{\log(d/2)}{\log(q-1)}$ .

**Corollary of the corollary :**

$$\chi(T_q, p) \geq \Omega\left(\frac{p \log(q-1)}{\log p}\right).$$

## Conclusion

- Solve the problem on the plane.
- Find a human checkable proof for the non 4-colorability of some unit-distance graph in  $\mathbb{R}^2$ .
- Number of colors for a hyperbolic plane?  
There is no large bipartite graphs in this case.

## Conclusion

- Solve the problem on the plane.
- Find a human checkable proof for the non 4-colorability of some unit-distance graph in  $\mathbb{R}^2$ .
- Number of colors for a hyperbolic plane?  
There is no large bipartite graphs in this case.

Thanks!