

# Reconfiguration: From statistical physics to graph theory

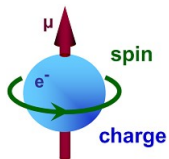
Nicolas Bousquet

Joint works with Marthe Bonamy, Carl Feghali,  
Matthew Johnson, Guillem Perarnau.

Journées Structures Discrètes  
ENS Lyon

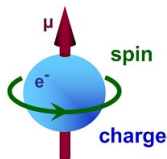


## Spin systems



*Spin is one of two types of angular momentum in quantum mechanics. [...] In some ways, spin is like a vector quantity; it has a definite magnitude, and it has a "direction".*

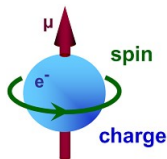
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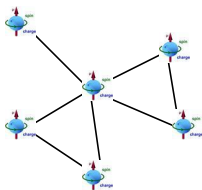
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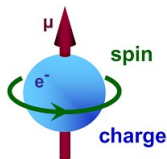
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A **spin configuration** is a function  $\sigma : S \rightarrow \{1, \dots, k\}$ .



- Interactions between spins in  $S$  are modeled via an **interaction matrix**.
- If coefficients are in  $0 - 1$  : representation with a graph :
  - $0$  = no interaction = no link.
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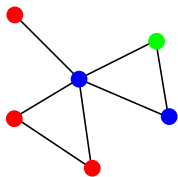
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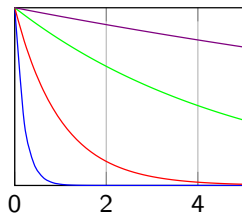
**Spin configuration**  $\Rightarrow$  (non necessarily proper) graph coloring.

## Antiferromagnetic Potts model

$H(\sigma)$  : number of monochromatic edges.

=

Edges with both endpoints of the same color.



$T = 5, 1, 0.2, 0.05$

Gibbs measure at fixed temperature  $T$  :

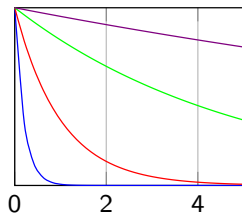
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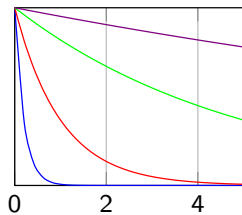
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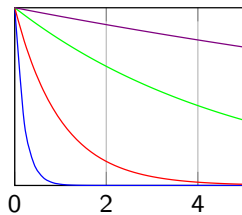


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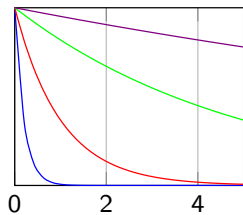
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### Definition (Glauber dynamics)

Limit of a  $k$ -state Potts model when  $T \rightarrow 0$ .

$\Leftrightarrow$  Only **proper** colorings have positive measure.

## Sampling spin configurations

In the statistical physics community, the following Monte Carlo Markov chain was proposed to **sample a configuration** :

- **Start** with an initial coloring  $c$  ;
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### Questions :

- Can we generate any solution ?
- How much time do we need to “sample a solution almost at random” ?

## Reconfiguration graph

**Definition** ( $k$ -Reconfiguration graph  $\mathcal{C}_k(G)$  of  $G$ )

- Vertices : Proper  $k$ -colorings of  $G$ .
- Create an edge between any two  $k$ -colorings which differ on exactly one vertex.

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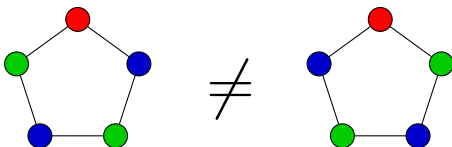
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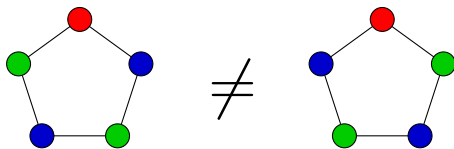
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**Remark 2.**

All the  $k$ -colorings can be generated

$\Leftrightarrow$  The  $k$ -reconfiguration graph is connected.

## Convergence of Markov chains

A Markov chain is **irreducible** if any solution can be reached from any other.  $\Leftrightarrow$  The reconfiguration graph is connected.

A chain is **aperiodic** if there exists  $t_0$  such that  $Pr(X_t = a)$  is positive for every  $t > t_0$  and every state  $a$ .



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### Mixing time and Reconfiguration graph ?

- Diameter of the Reconfiguration graph =  $D$   
⇒ Mixing time  $\geq 2 \cdot D$ .
- Better lower bounds? Look at the connectivity of the reconfiguration graph.



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How many colors (in terms of the **maximum degree  $\Delta$** ) do we need to ensure that the chain is rapidly mixing?

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### Conjecture

If  $c \geq \Delta + 2$ , the graph is  $c(\Delta)$ -mixing in time  $\mathcal{O}(n \log n)$ .

## Path coupling

### *Informal definition*

Two Markov chains  $(X_t, Y_t)$  are coupled if :

- $X_t$  without knowing  $Y_t = Y_t$  without knowing  $X_t$ .
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### Theorem

If there exists a coupling defined **only** every  $X_t, Y_t$  that only differ on one vertex such that

$$\mathbb{E}(d(X_{t+1}, Y_{t+1})) < \left(1 - \frac{1}{n}\right)$$

then the mixing time is  $\mathcal{O}(n \log n)$ .

$d(X, Y)$  = Hamming distance

= number of vertices on which they differ



## Example 1

Let  $v$  be the vertex on which  $X_t$  and  $Y_t$  differ.

### **Coupling :**

If vertex  $u$  and color  $c$  are chosen in  $X_t$ , we make the same choice in  $Y_t$ .

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Assume that  $k \geq 3\Delta + 1$ .

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$$\Rightarrow \mathbb{E}(d(X_{t+1}, Y_{t+1})) = 1 - \frac{1}{(3\Delta+1)n}.$$

$\Rightarrow$  The chain is rapidly mixing if  $k > 3\Delta$ .

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$v$  = Unique vertex on which  $X_t$  and  $Y_t$  differ.

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## Relation with enumeration

### Theorem

If the reconfiguration is connected then there exists a **polynomial delay algorithm** that enumerate all the solutions.

An algorithm is **polynomial delay** if it enumerates all the solutions and the delay between two solutions is polynomial in  $n$ .



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### Remark :

The algorithm might need an **exponential space** !

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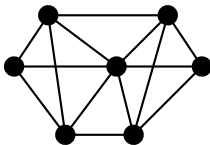
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- If the answer is positive, how many steps do we need?  
*What is the diameter of the reconfiguration graph?*
- Can we efficiently find a short transformation (from an algorithmic point of view)?  
*Can we find a path between two vertices of the reconfiguration graph in polynomial time?*

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### Conjecture (Cereceda)

The  $(k + 2)$ -recoloring diameter of any  $k$ -degenerate graph is  $\mathcal{O}(n^2)$ .

A graph is  $k$ -degenerate if there exists an order  $v_1, \dots, v_n$  such that for every  $i$ ,  $v_i$  has at most  $k$  neighbors after it in the order.

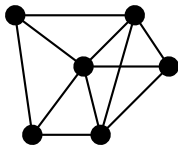


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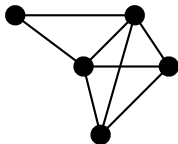


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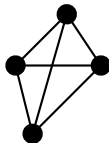


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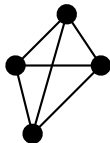


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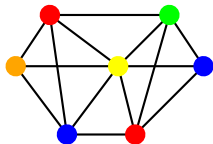
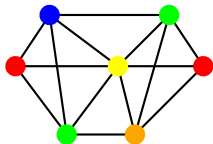
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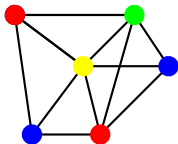
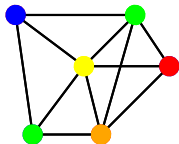
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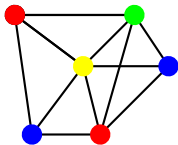
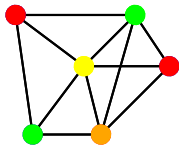
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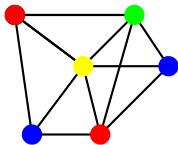
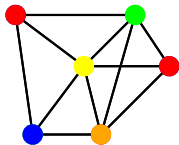
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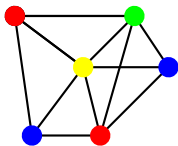
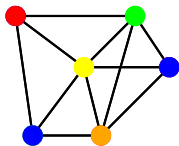
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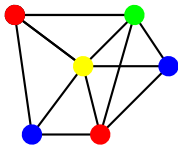
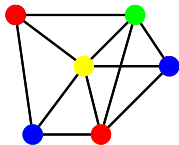
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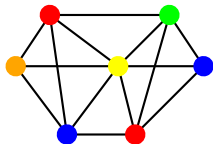
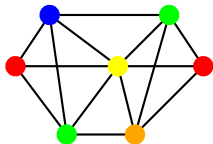
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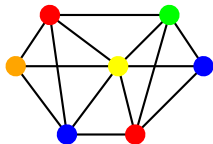
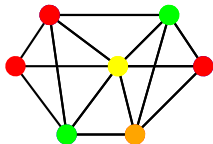
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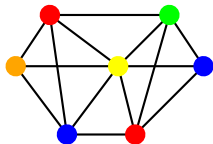
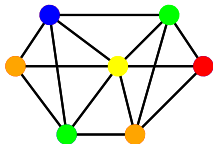
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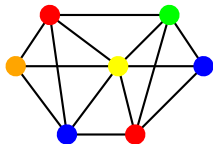
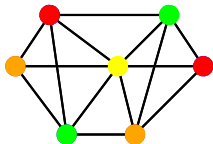
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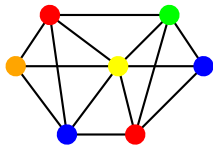
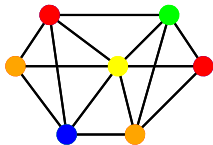
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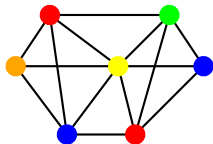
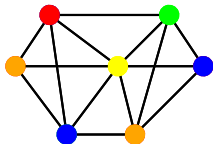
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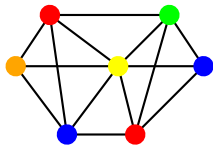
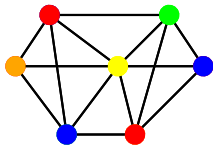
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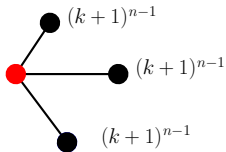
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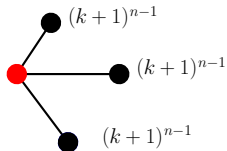


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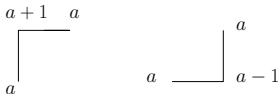
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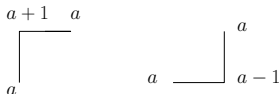
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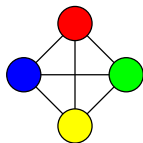


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**Claim :**  $\Omega(n^2)$  steps are needed to transform  $123\dots 123$  into  $132\dots 132$ .

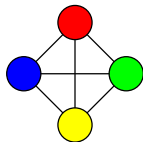
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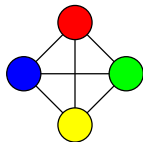
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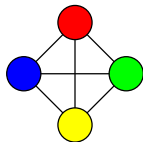
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- [Bonamy, B., Perarnau] The number of frozen  $(\Delta + 1)$ -colorings is exponentially smaller than the number of  $(\Delta + 1)$ -colorings (when  $\Delta$  is small enough).

## What does “close” mean ?

At the beginning of the talk (a long time ago...).

*“At each step, change the color of a single vertex”*

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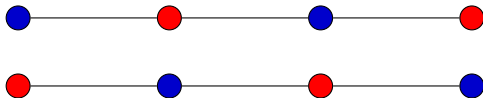
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### **Conjecture** (Mohar)

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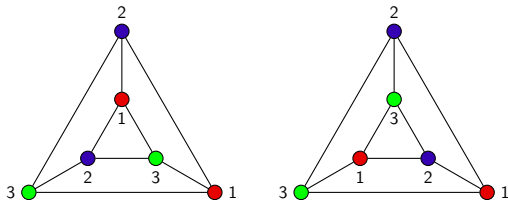
## Recoloring via Kempe chains

### Theorem (Mohar)

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### Theorem (Bonamy, B., Feghali, Johnson 2017+)

We can generate all the  $\Delta$ -colorings of any graph except the 3-prism using Kempe chains.



*Counter-example proposed by Jan van den Heuvel (2013)*

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**Thanks for your attention !**