

Resolution or more colours - Making the choice

Victor Ostromoukhov

Victor.Ostromoukhov@epfl.ch

Ecole Polytechnique Fédérale de Lausanne, Switzerland

Peripheral Systems Lab
<http://diwww.epfl.ch/w3lsp>



ÉCOLE POLYTECHNIQUE
FÉDÉRALE DE LAUSANNE

First generation of desktop ink-jet printers:

- **Limited spacial resolution and precision**
- **Big drop size**
- **Bi-level**
- **3 or 4 inks**
- **Slow host-printer interface**
- **Draft quality**

Actual state-of-the art desktop ink-jet printers:

- **Medium and high resolution and precision**
- **Appropriate drop size**
- **Multi-level**
- **Multi-ink**
- **Fast host-printer interface**
- **Photo quality**

High-Speed ink-jet printers

- **Relatively low resolution and precision**
- **Multi-level**
- **Multi-ink**
- **Fast host-printer interface**
- **Very high speed (meters/sec)**
- **Multiple nozzle architecture**

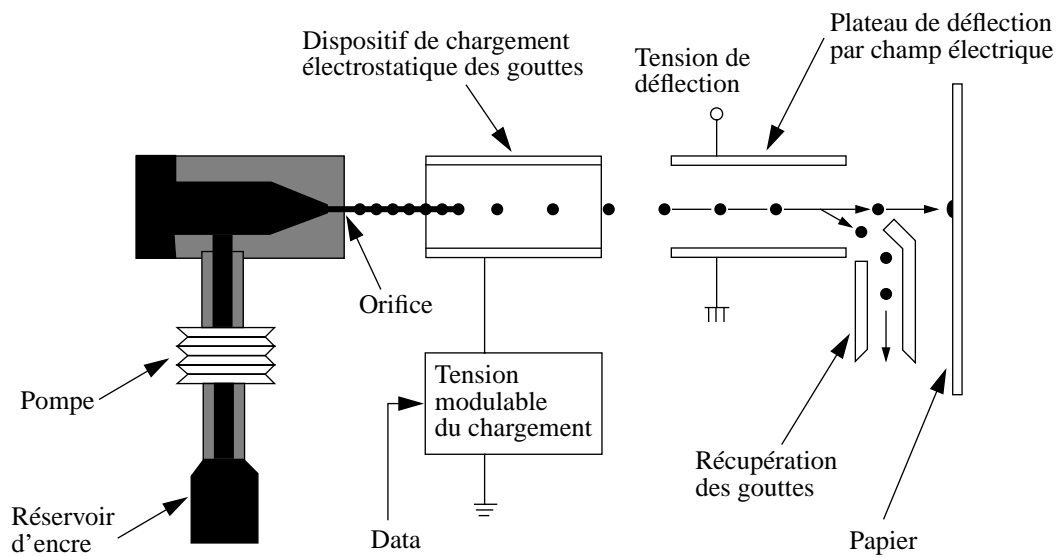
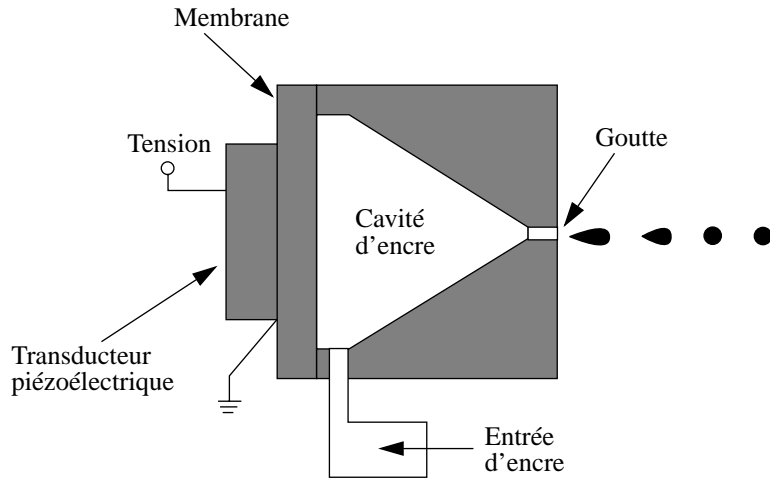
Technical Choices:

- **Increase resolution/precision**
- **Diminish drop volume**
- **Increase number of levels**
- **Increase number of colours**
- **Parallel nozzle architecture**

Criteria for choice:

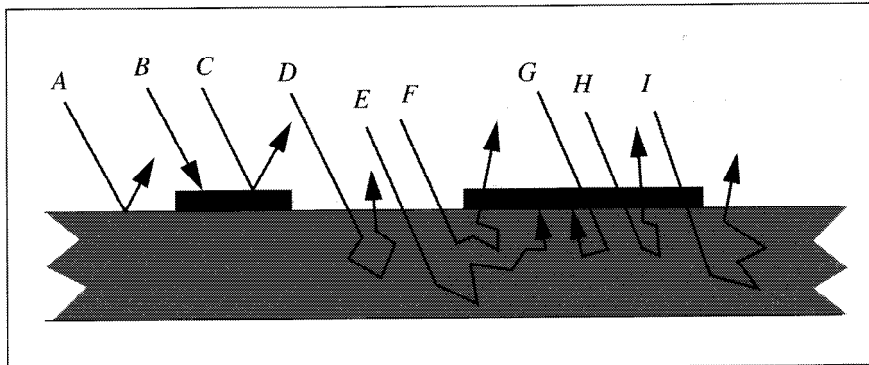
- **Visual quality (customers' satisfaction)**
- **Cost**
- **Speed**
- **Availability of the technology
(hardware/software)**
- **Competitors' offer**

Basic hardware technology: drop-on-demand and continuous-jet printers

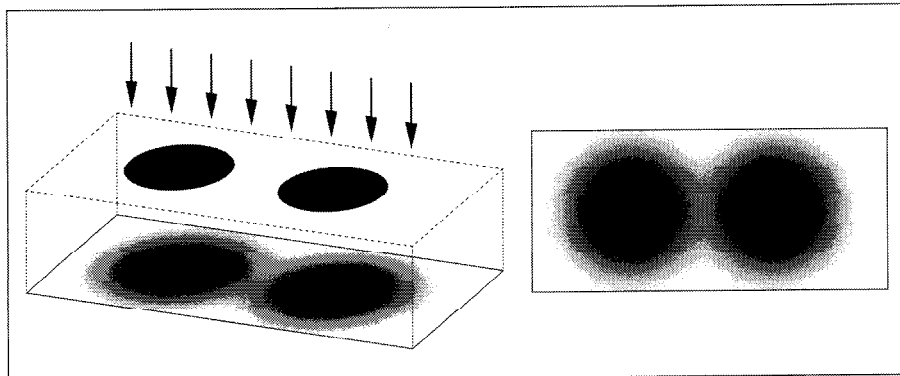


Physical and Optical Dot Gain

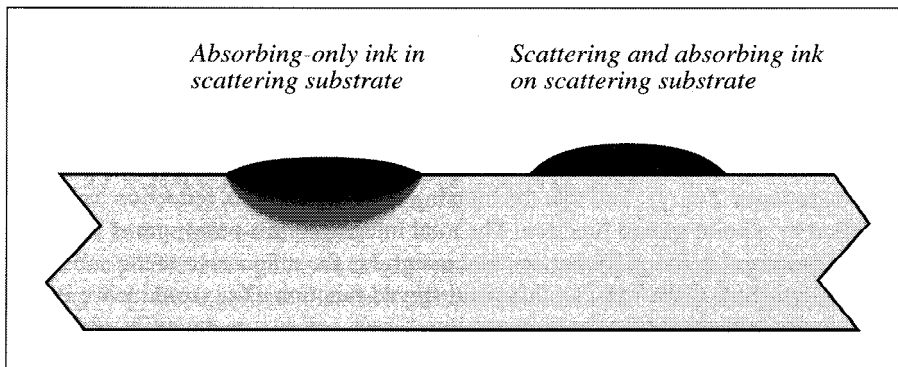
(Source: [Guistavson97])



Possible paths in a halftone print for an incident photon



Optical dot gain as a result of diffuse bulk reflection

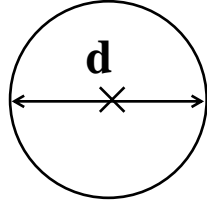


Penetrating ink (left) and a simplified model (right)

Modeling Dot Gain:

Simple drop model

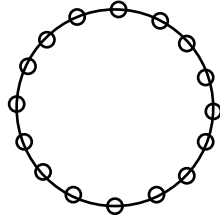
a) center



center: random position variation

↕ + 5%d; ↔ + 15%d

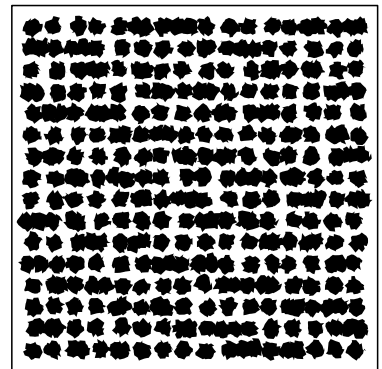
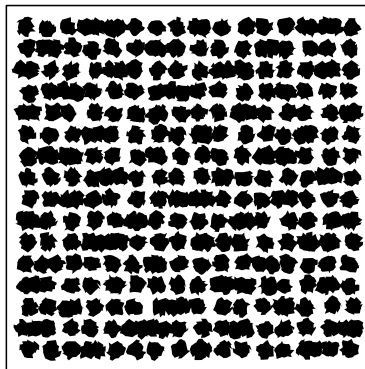
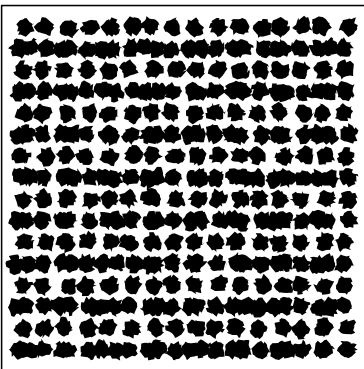
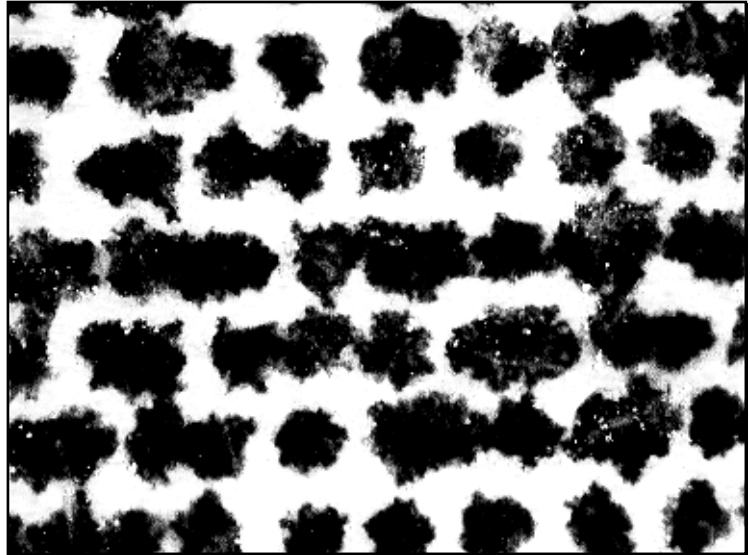
b) boundary



each point:
random position variation

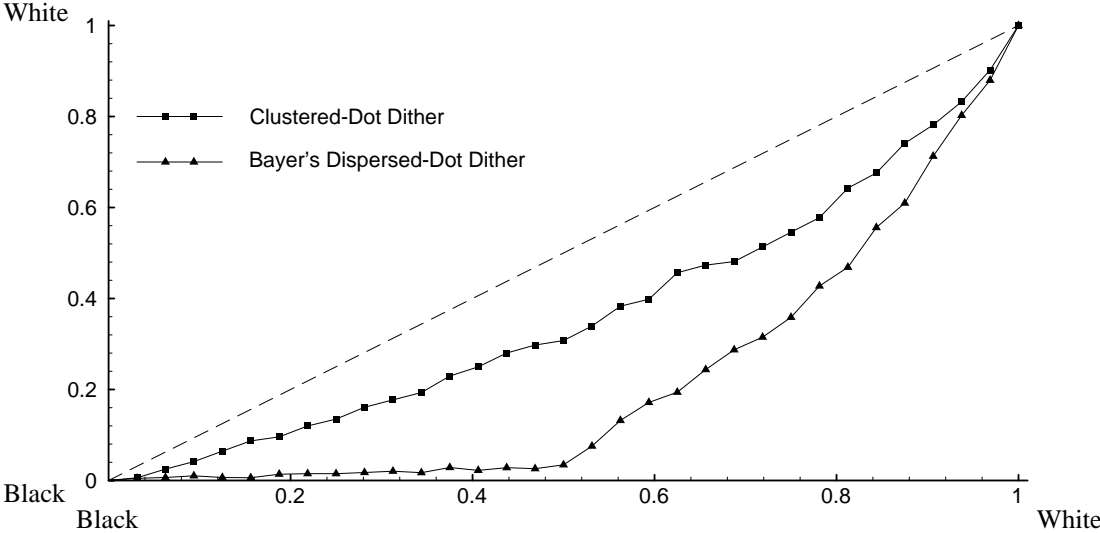
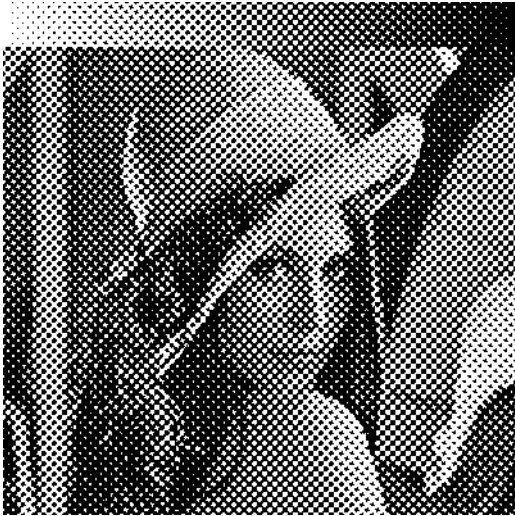
↕↔ + 10%d

Photomicrography



Simulated Samples

Tone Reproduction Curve

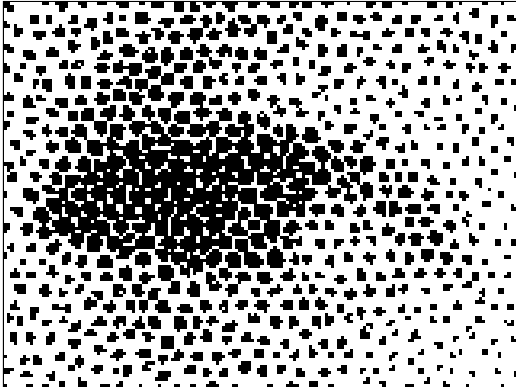
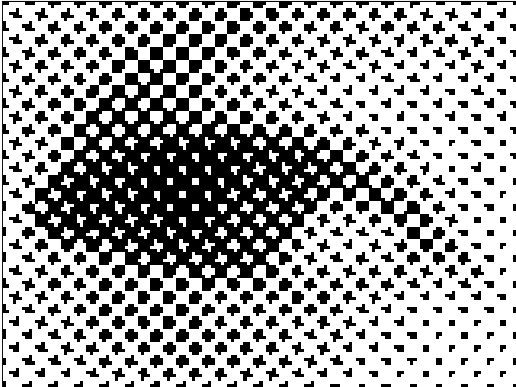


Basic halftoning techniques for ink-jet printers:

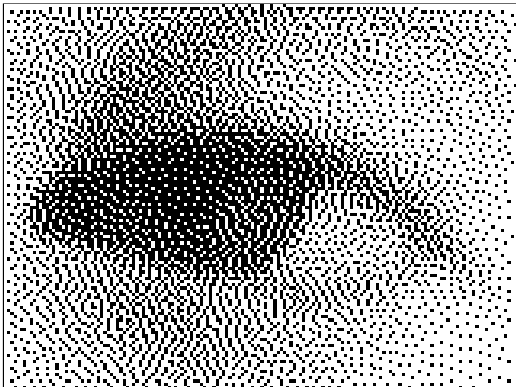
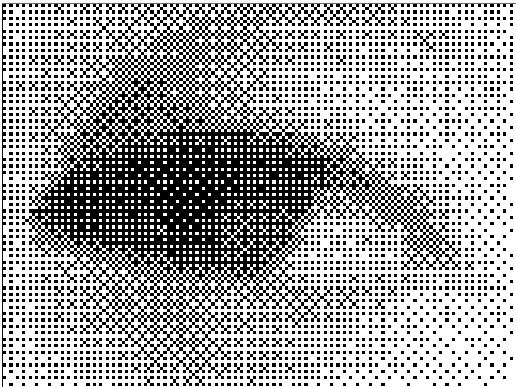
Regular

Irregular

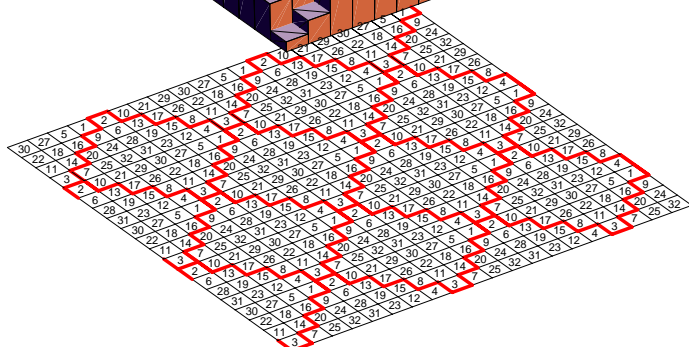
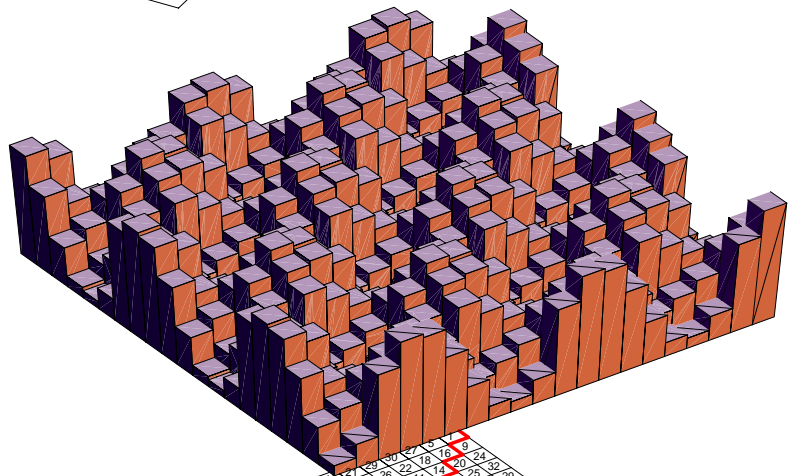
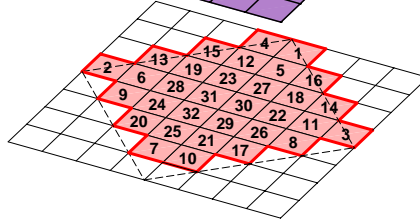
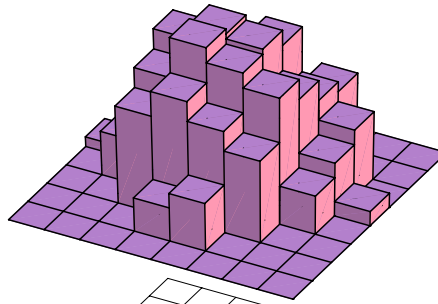
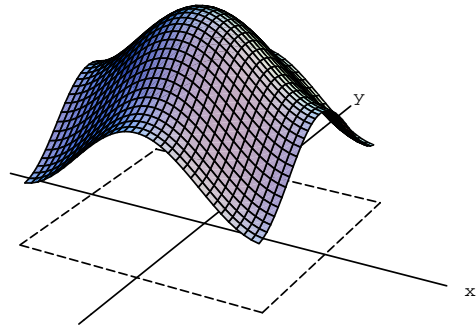
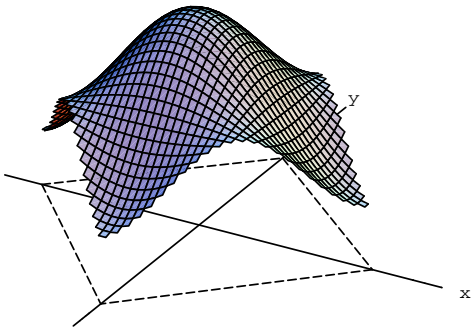
Clustered-Dot



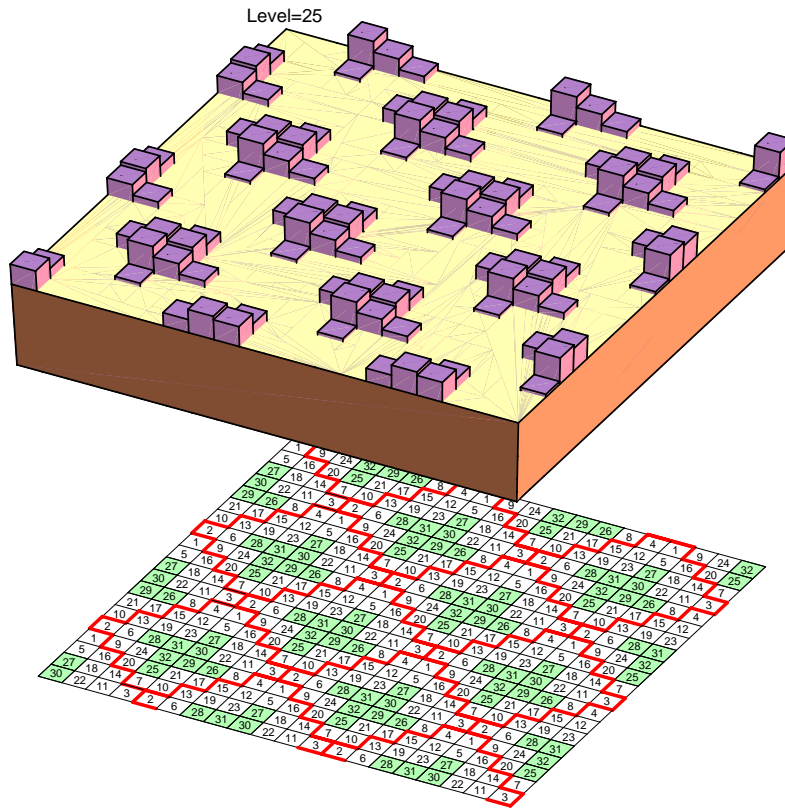
Dispersed-Dot



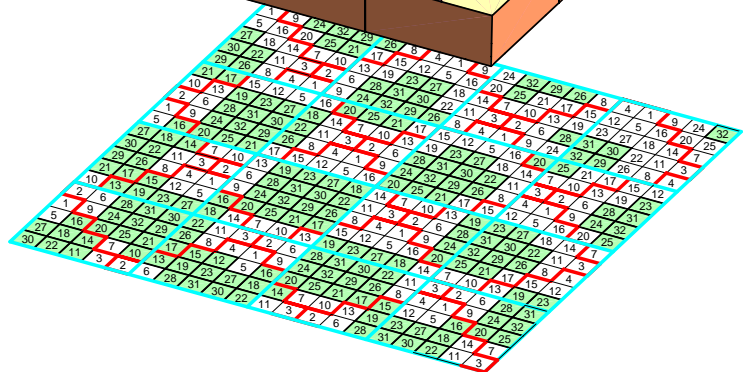
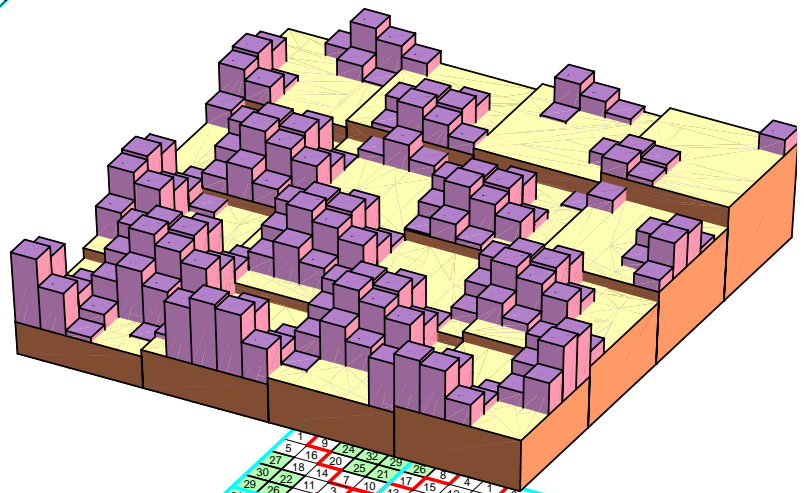
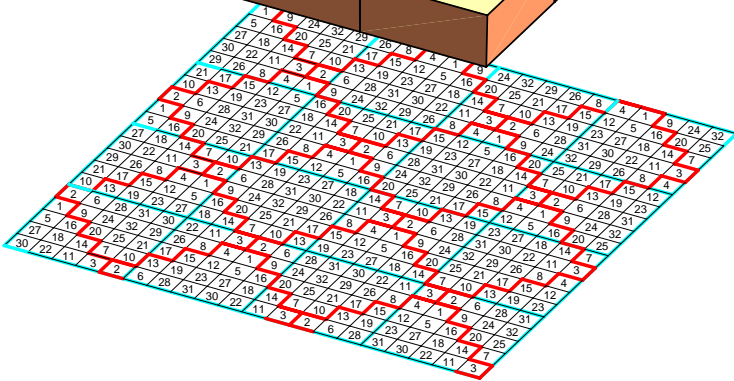
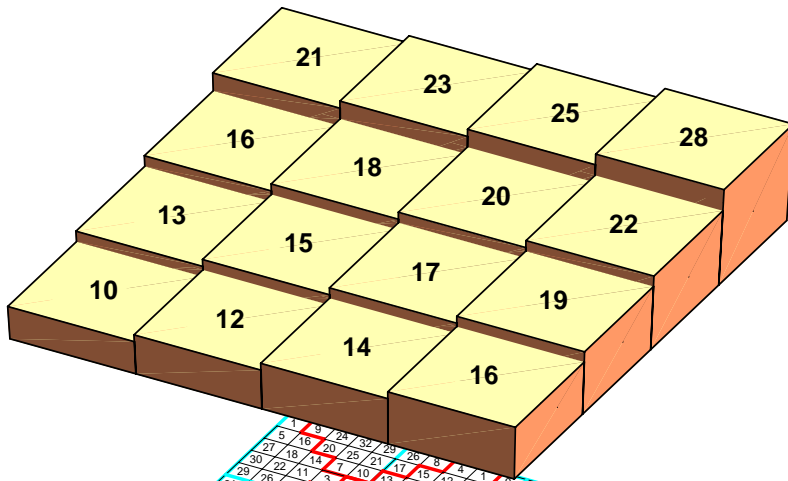
Building Spot Function



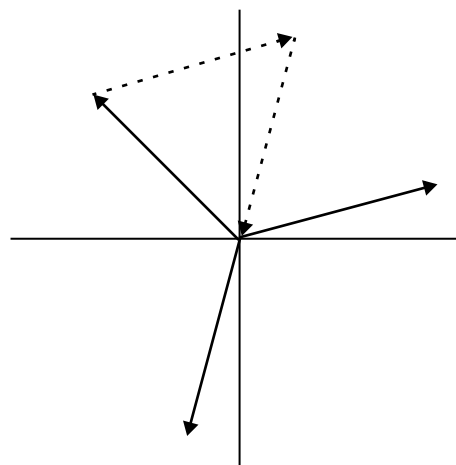
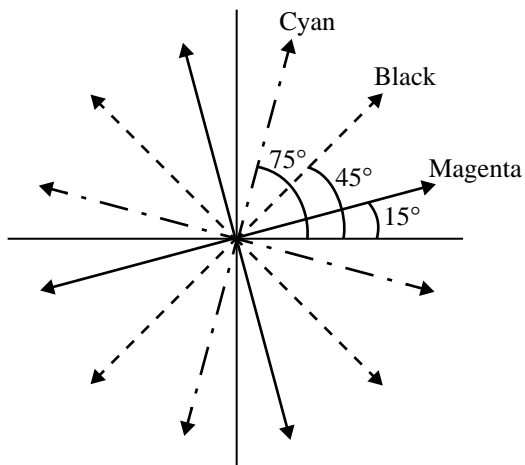
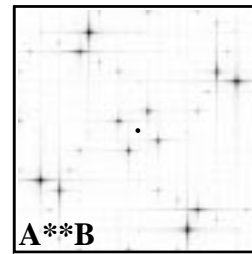
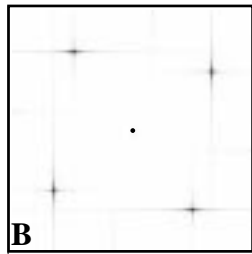
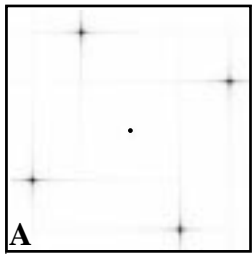
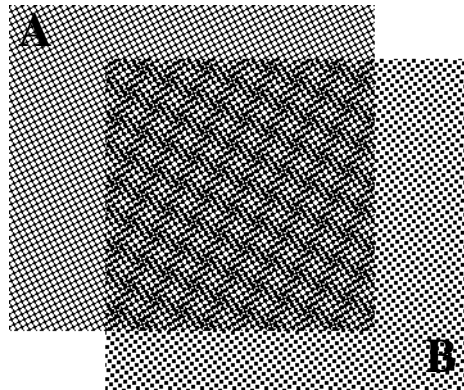
Applying Spot Function: Constant input level



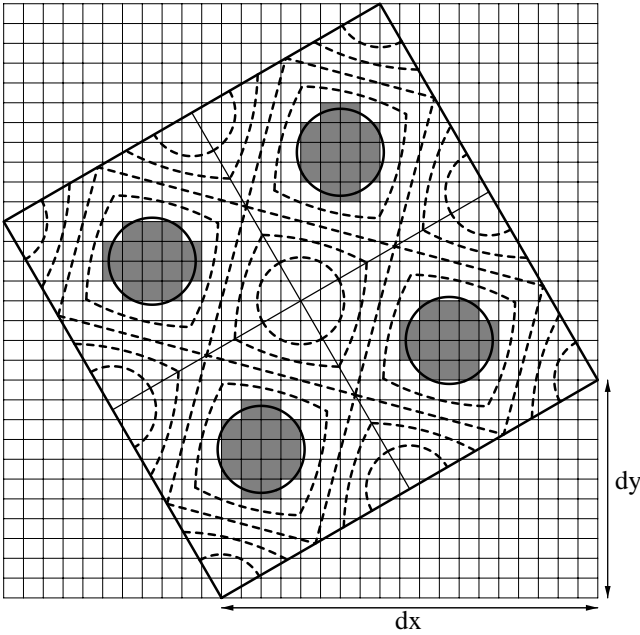
Applying Spot Function: Variable input level



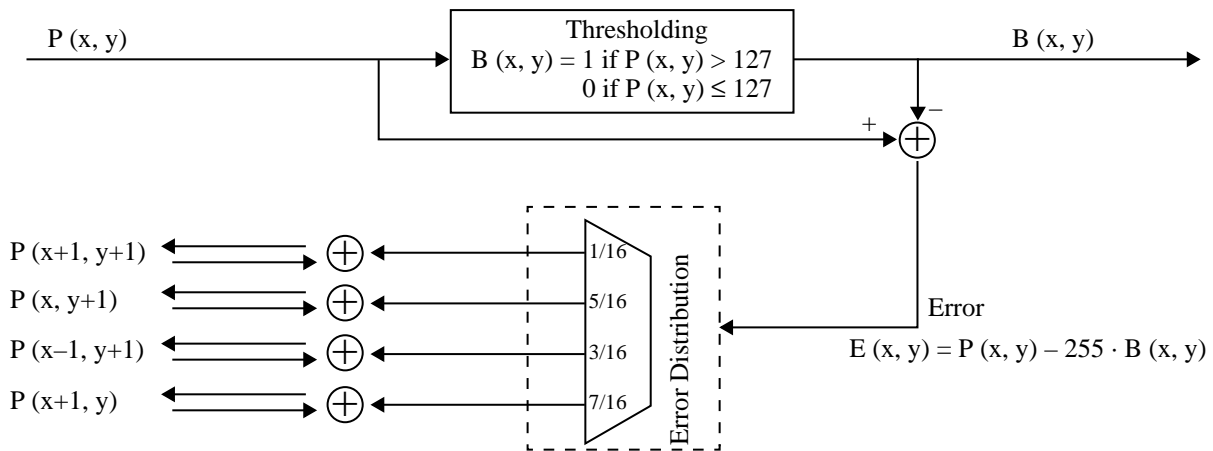
Moiré



Super-cell



Error-Diffusion: Floyd-Steinberg



A Typical Result

Error-Diffusion: Different Distribution Schemes

$$\left(\frac{1}{16} \times \right) \begin{array}{c} \cdot 7 \\ 3 \ 5 \ 1 \end{array}$$

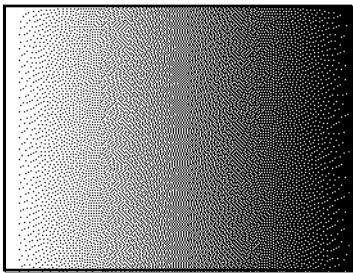
(a) Floyd and Steinberg

$$\left(\frac{1}{48} \times \right) \begin{array}{c} \cdot 7 \ 5 \\ 3 \ 5 \ 7 \ 5 \ 3 \\ 1 \ 3 \ 5 \ 3 \ 1 \end{array}$$

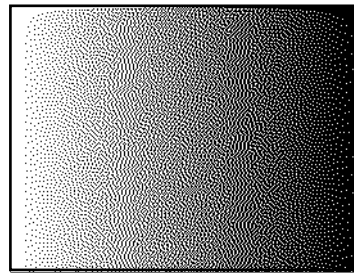
(b) Jarvis, Judice and Ninke

$$\left(\frac{1}{42} \times \right) \begin{array}{c} \cdot 8 \ 4 \\ 2 \ 4 \ 8 \ 4 \ 2 \\ 1 \ 2 \ 4 \ 2 \ 1 \end{array}$$

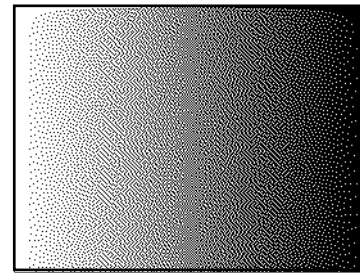
(c) Stucki



(a) Floyd and Steinberg

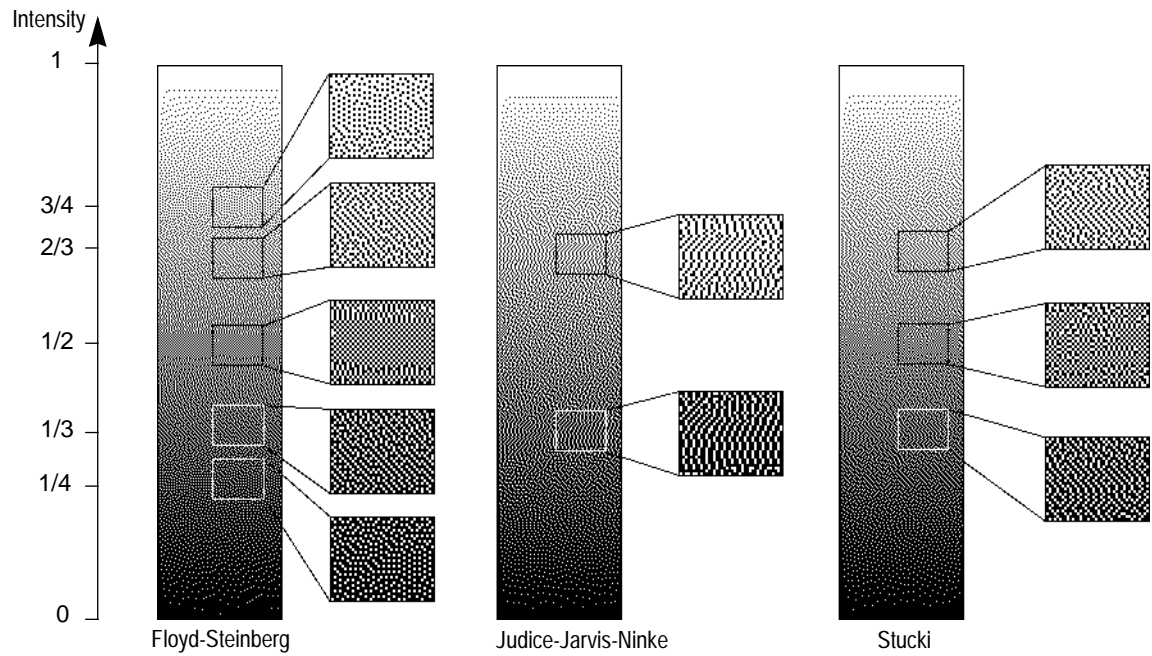


(b) Jarvis, Judice and Ninke

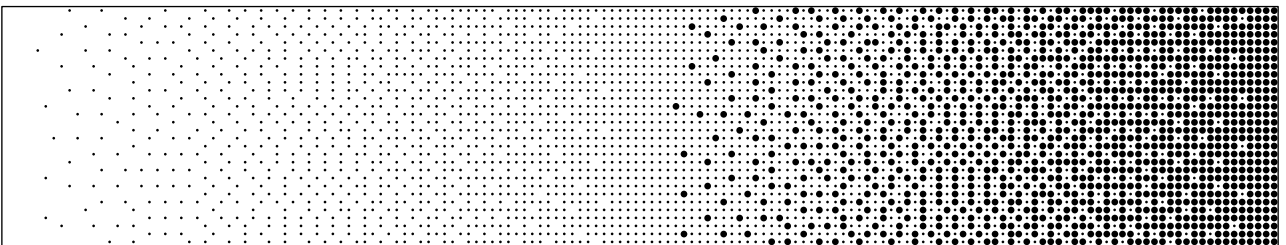
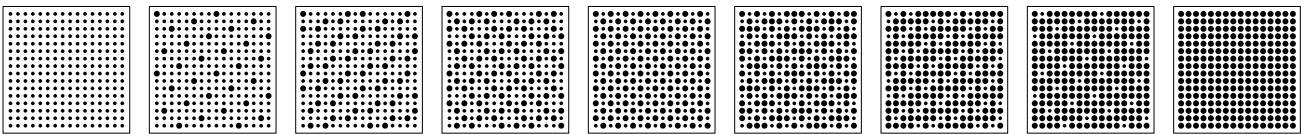
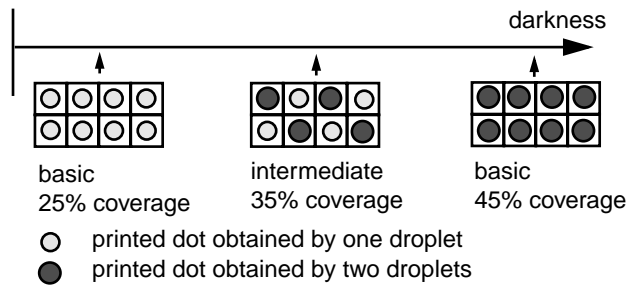


(c) Stucki

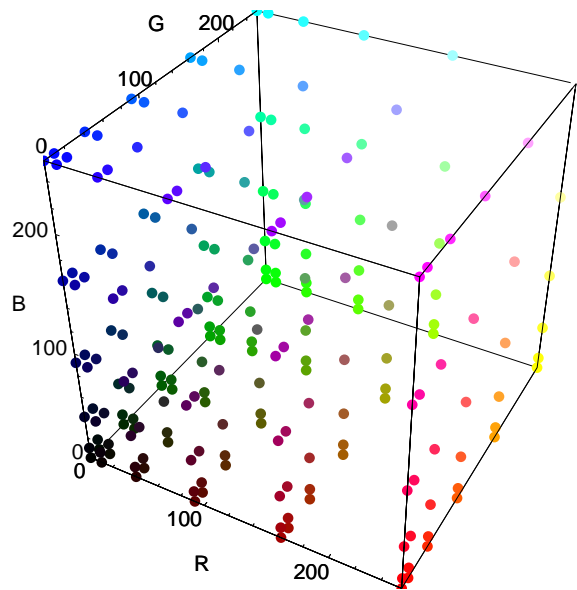
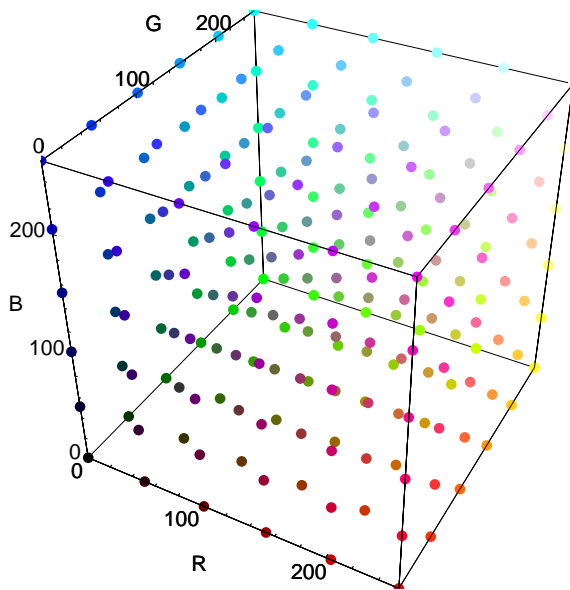
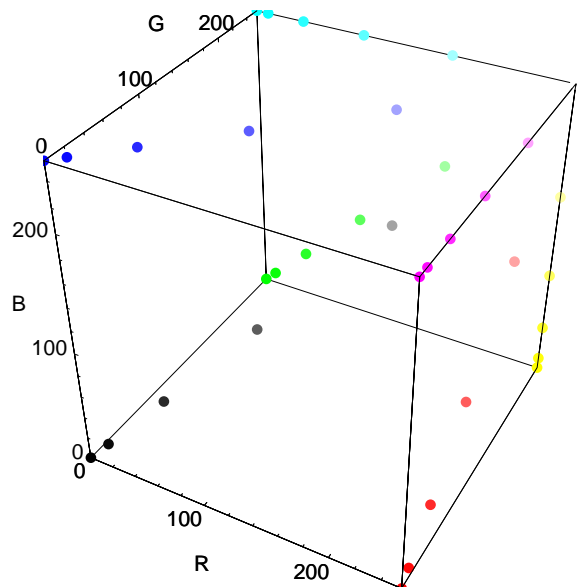
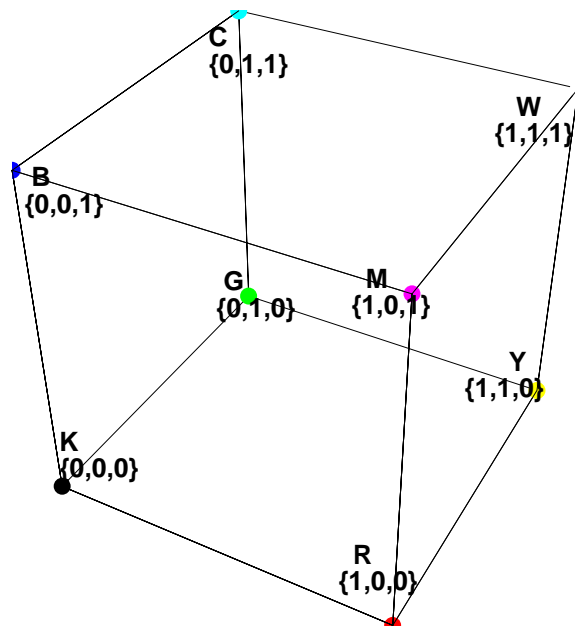
Error-Diffusion: Major Artifacts



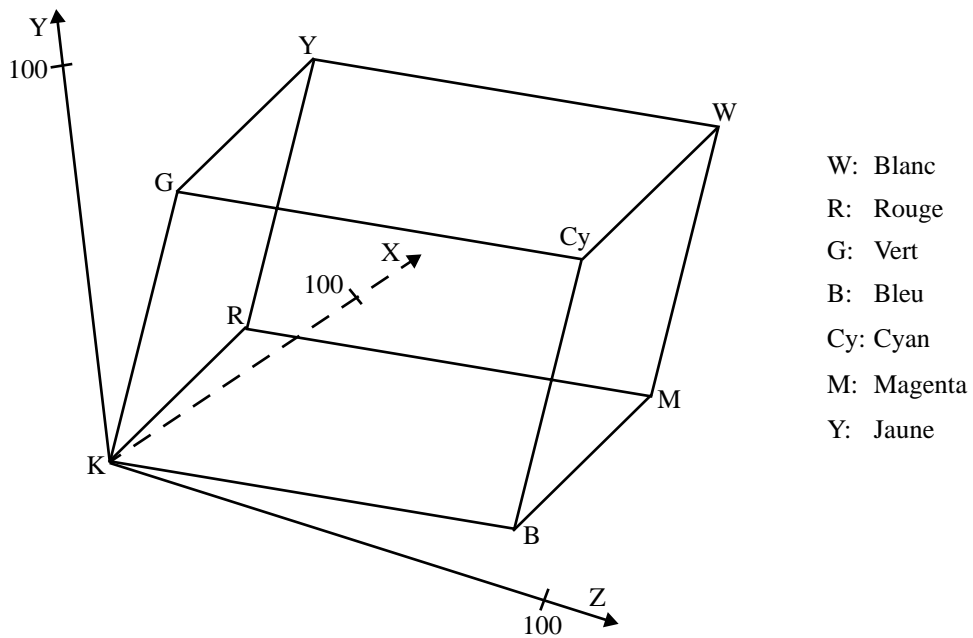
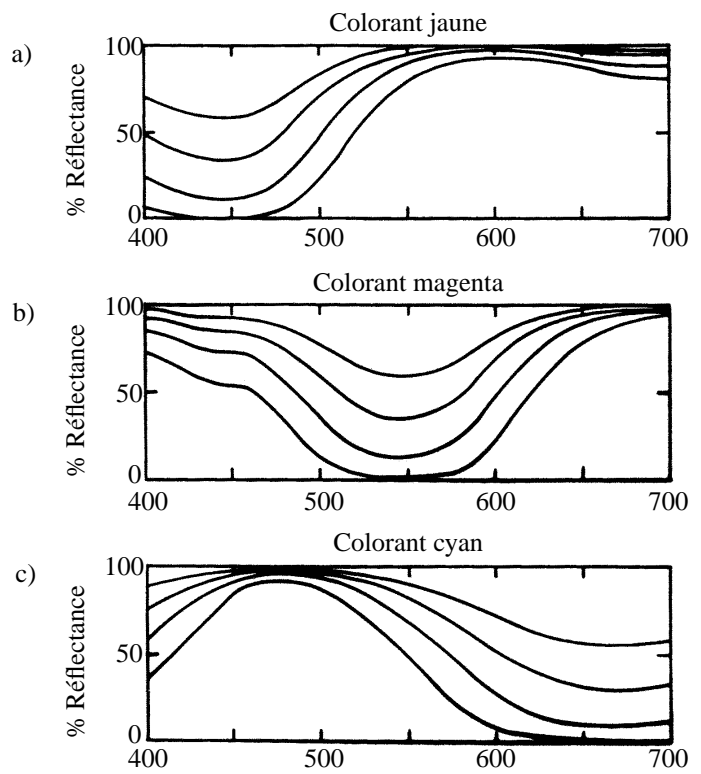
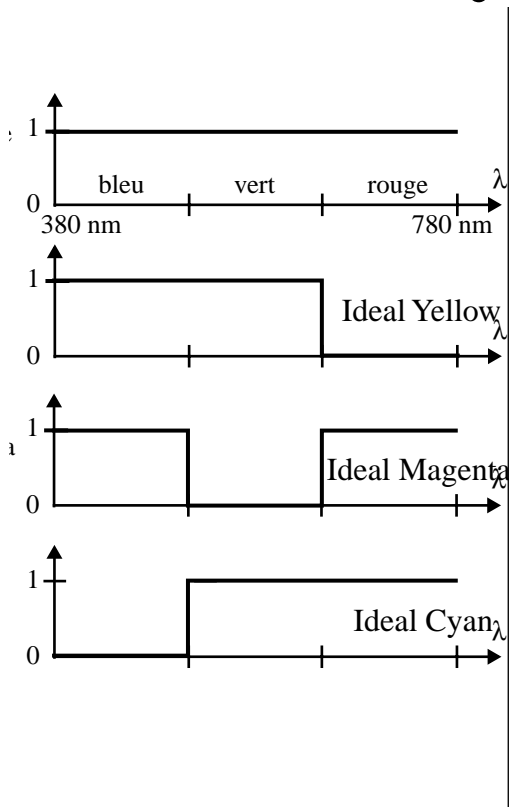
Multi-Level Halftoning



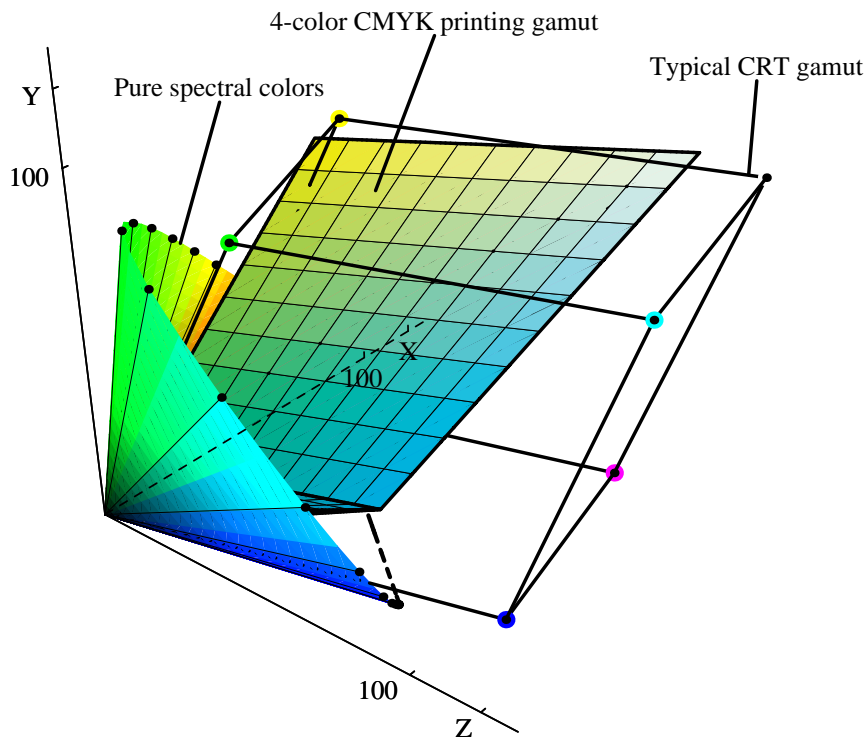
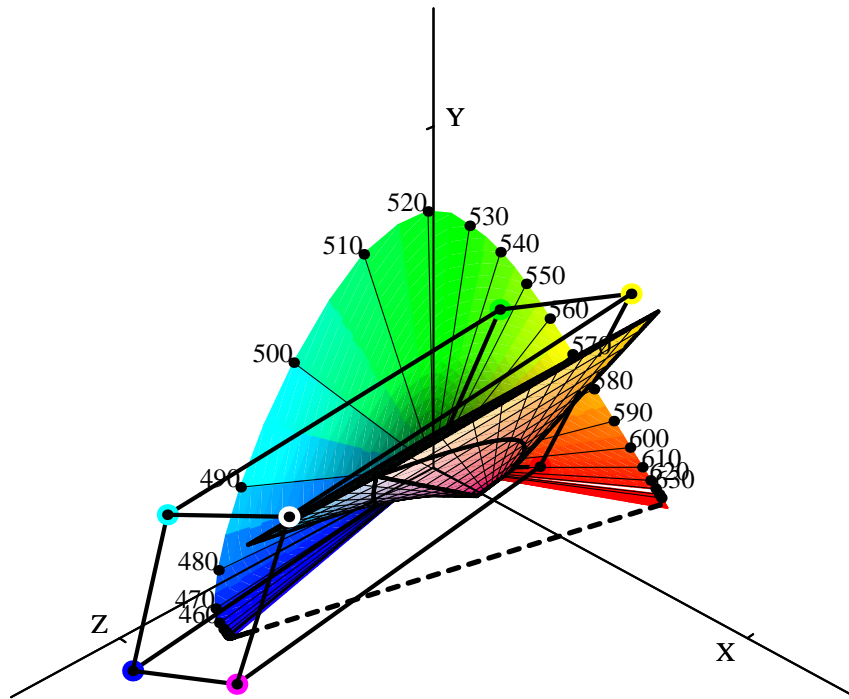
Colour Palettes for Multi-Colour Printing



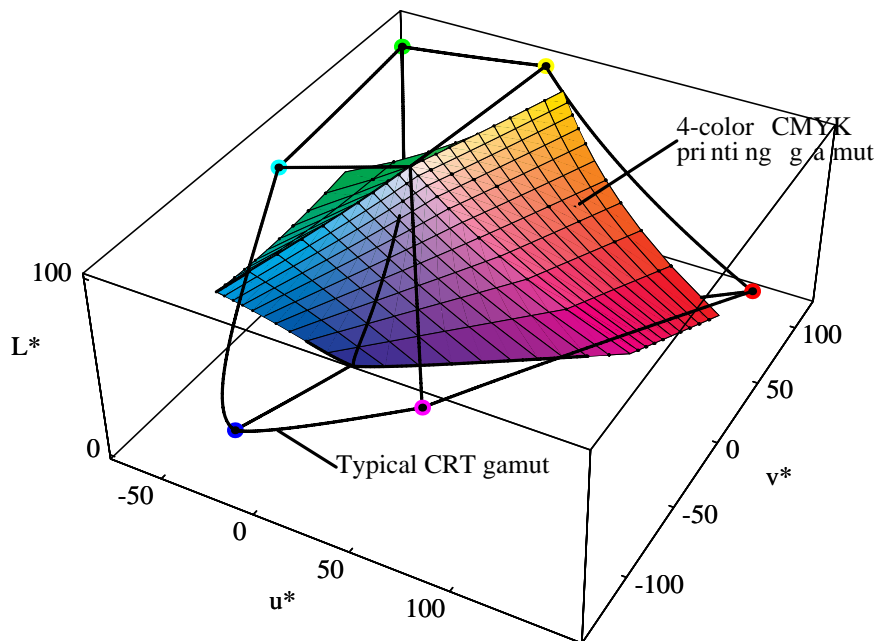
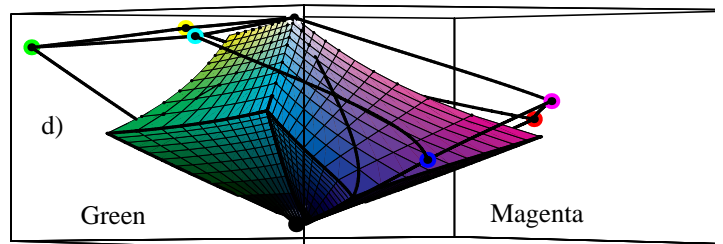
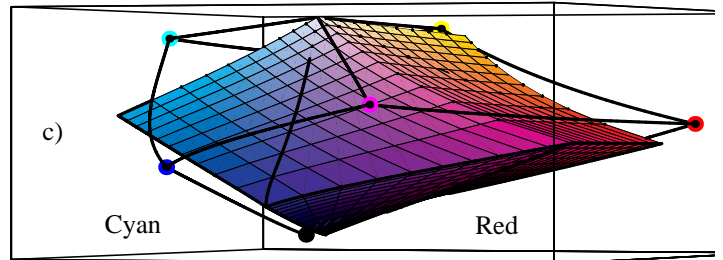
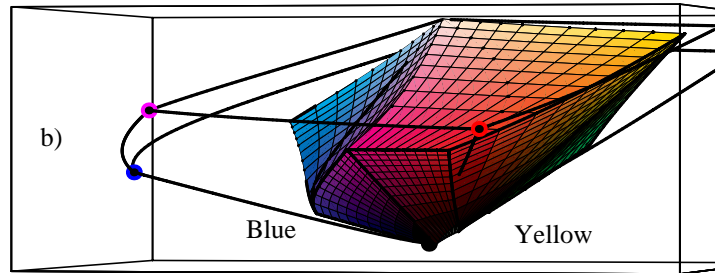
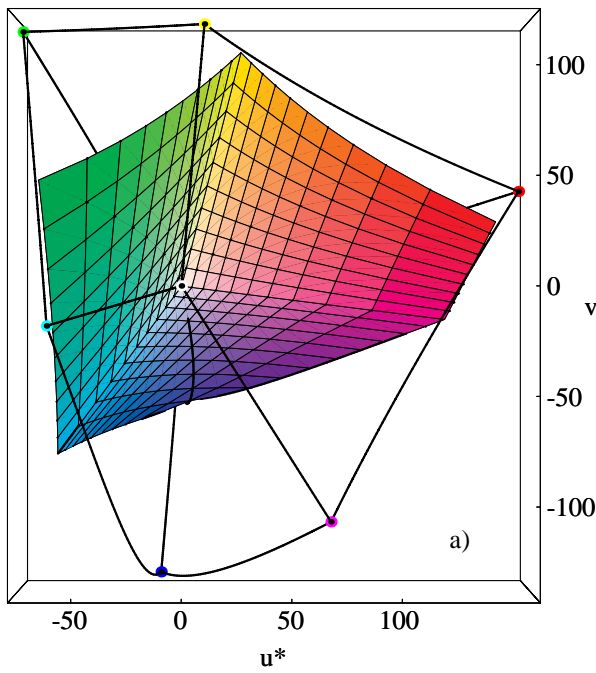
Ideal vs. Real Dyes



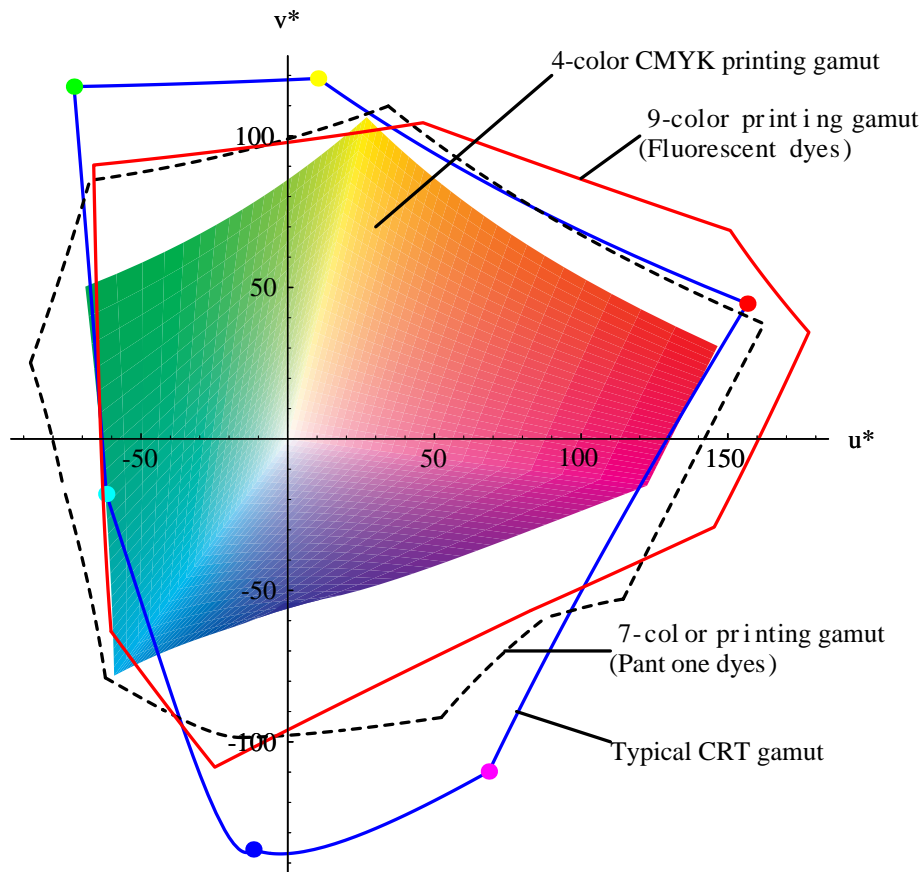
4-Colour Printing Gamut in CIE-XYZ space



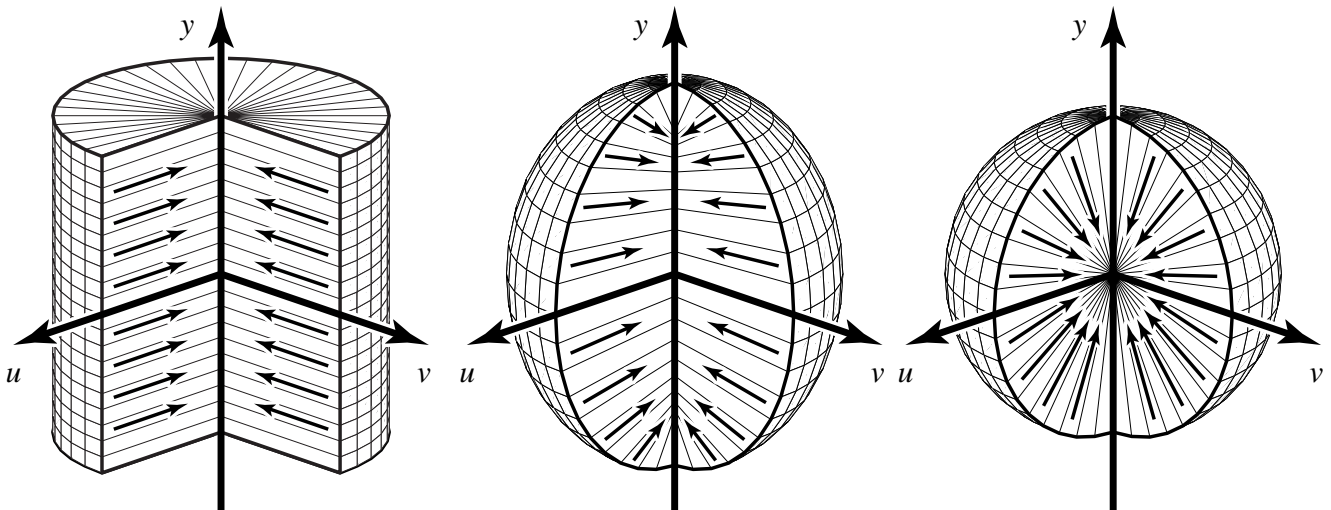
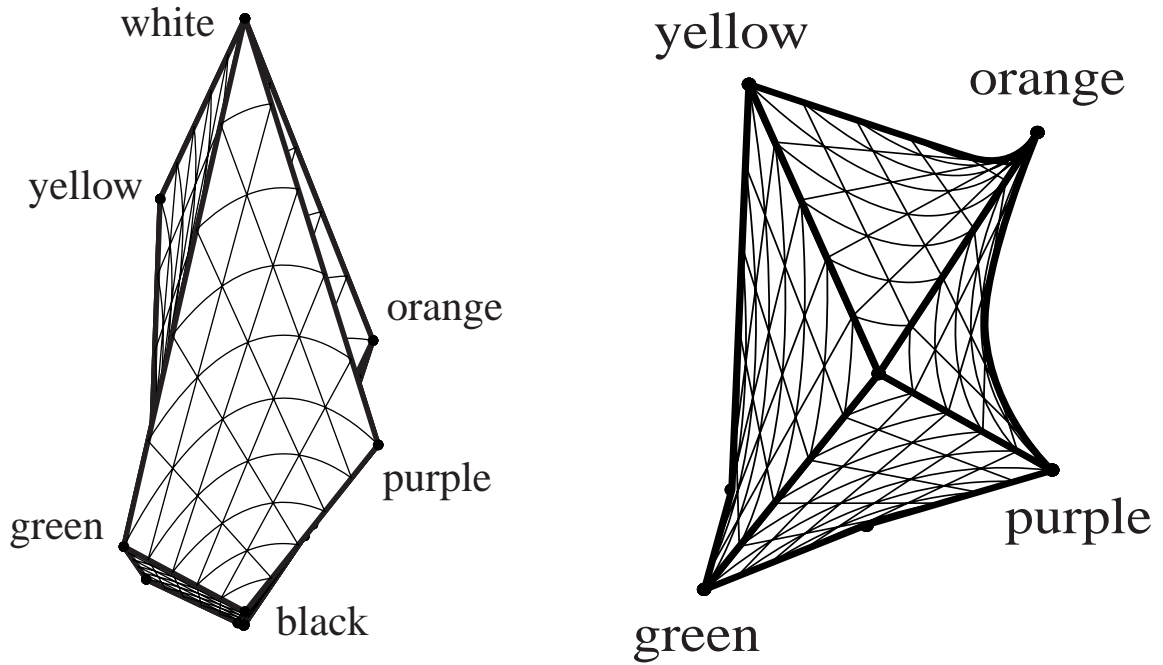
4-Colour Printing Gamut in $L^*u^*v^*$ space



Enlarged Printing Gamut Using Non-Standard Colours

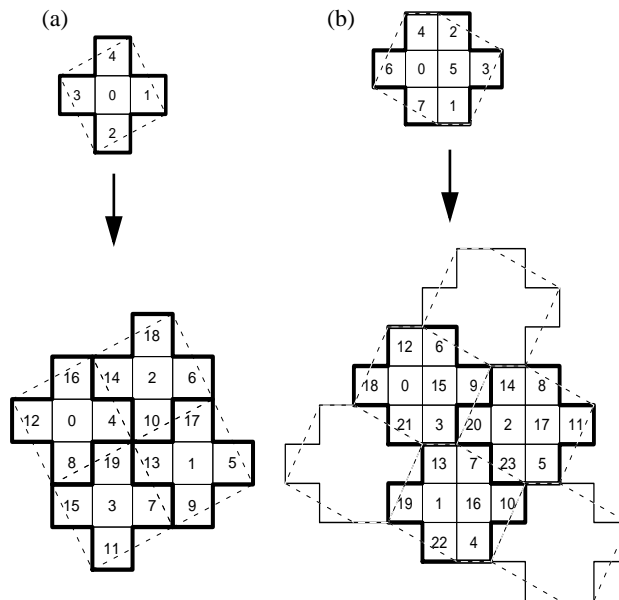


Gamut Mapping

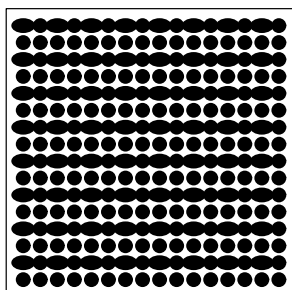


Halftoning for Multi-Level Devices. Dithering.

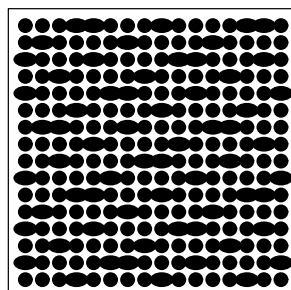
Recursive generation of a parallelogram (a) and hexagonal (b) dispersed dither tiles



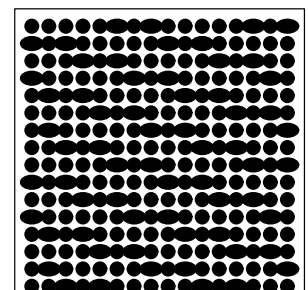
Breaking the horizontal bands produced by elliptic dot shapes with distributions of dither thresholds



(a) Bayer Dispersed Dither level $i+2$



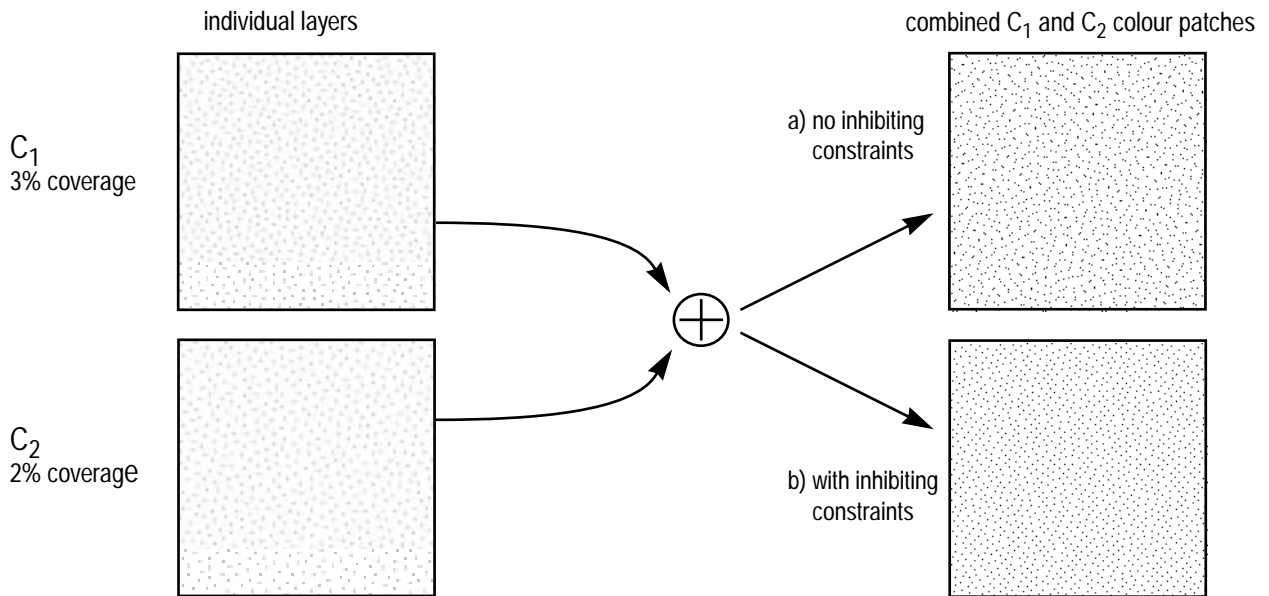
(b) Parallelogram Dispersed Dither level $i+2$



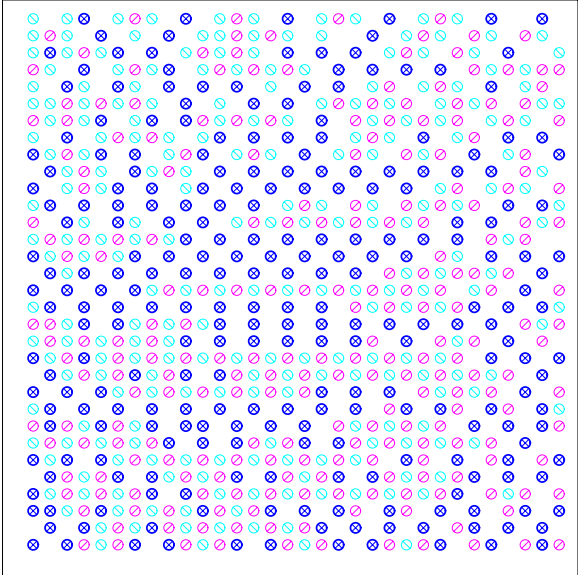
(c) Hexagonal Dispersed Dither level $i+2$

Halftoning for Multi-Level Devices. Error-diffusion.

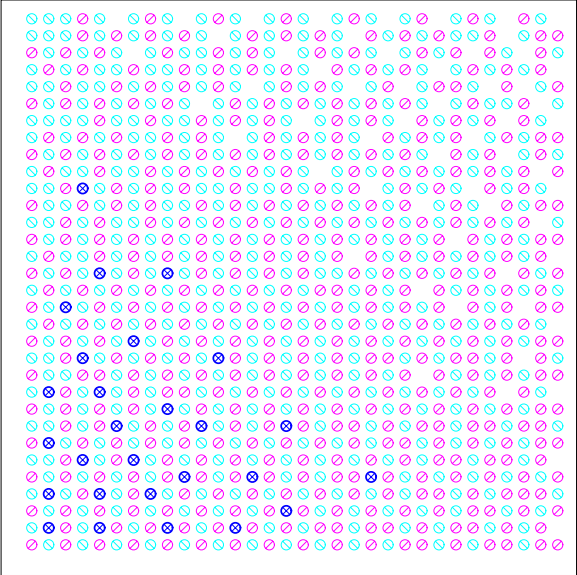
Colour error-diffusion (a) without and (b) with constraints inhibiting dot over dot printing



Bi-level colour error-diffused patch with surface coverages $c_1= 50\%$, $c_2=50\%$ at the center and with $\pm 12\%$ coverage increase/decrease towards the edges



a)



b)

Two-dimensional representation of the white cyan magenta blue gamut and of corresponding error-diffusion output colours

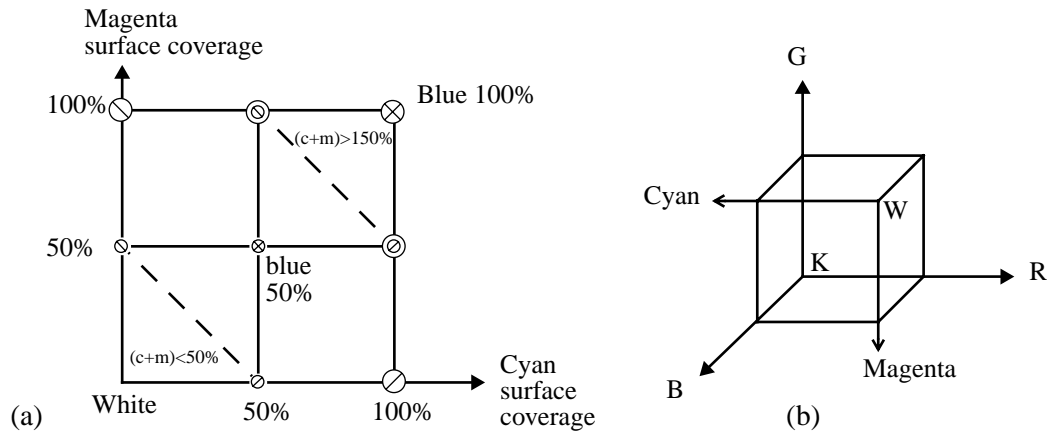
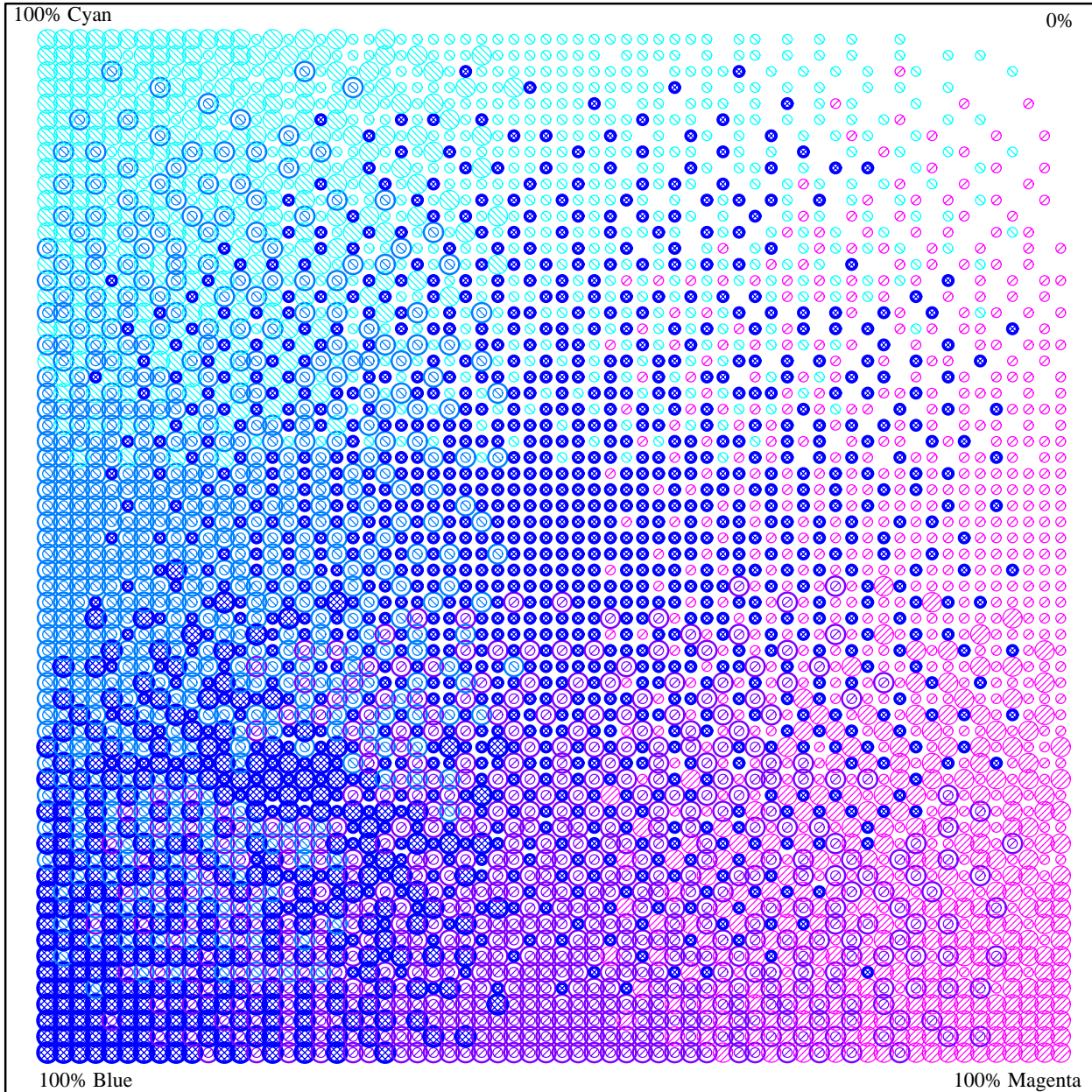


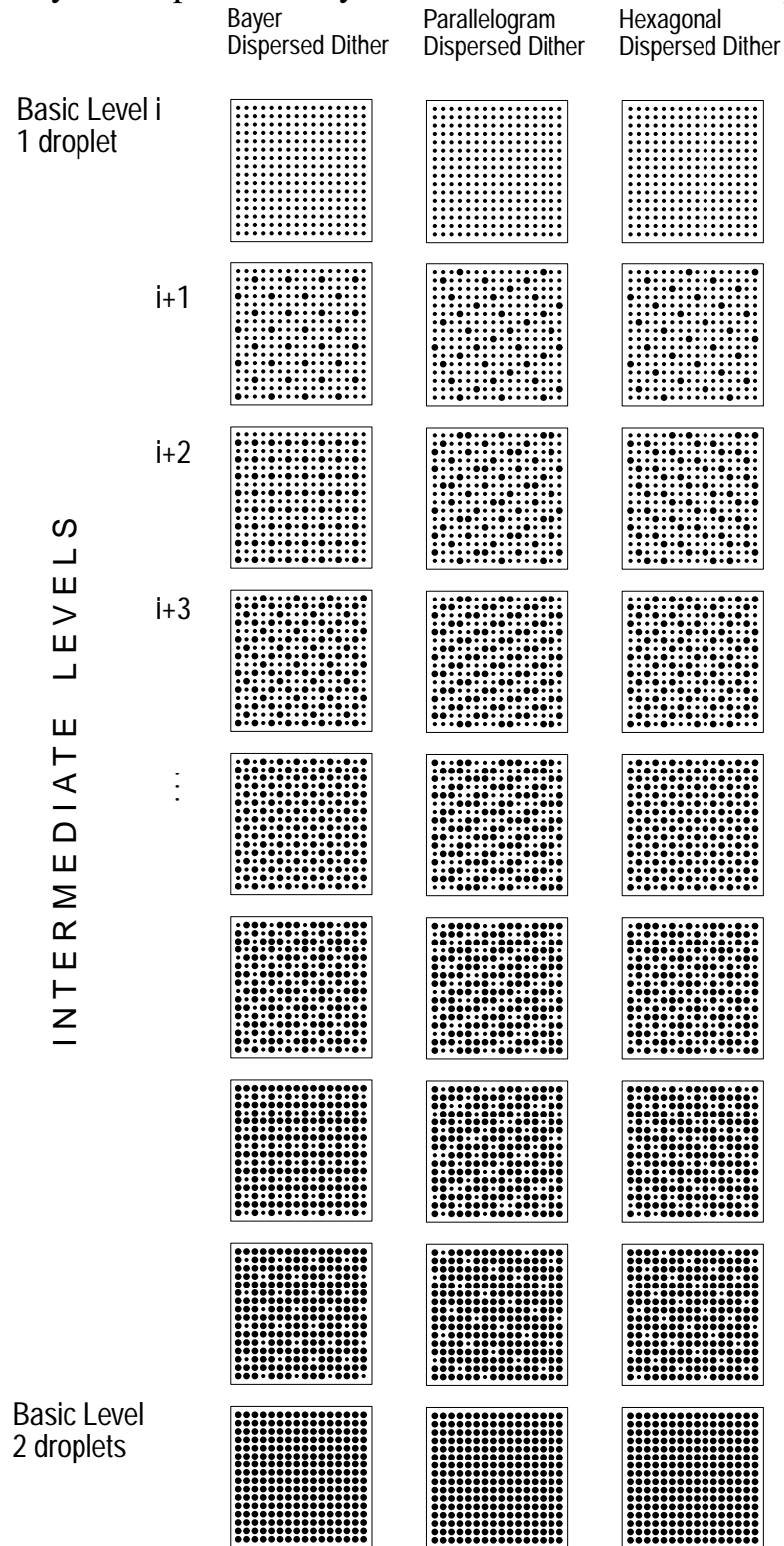
Table 1: Printable colours for variable dot size error-diffusion

Colour	label	droplets	symbol
White	White	no droplet	
Cyan 50%	c50%	one C-droplet	⊙
Cyan 100%	c100%	two C-droplets	⊘
Magenta 50%	m50%	one M-droplet	⊙
Magenta 100%	m100%	two M-droplets	⊘
Blue 50%	c50%m50%	overlapped one C & one M droplet	⊗
CyanBlue	c100%m50%	overlapped two C & one M droplet	⊗
MagentaBlue	c50%m100%	overlapped one C and two M droplets	⊗
Blue 100%	cyan100%m100%	overlapped two C and two M droplets	⊗

Variable dot size colour error-diffused cyan magenta wedge, with intensity-dependent inhibiting constraints (blue 50% allowed)

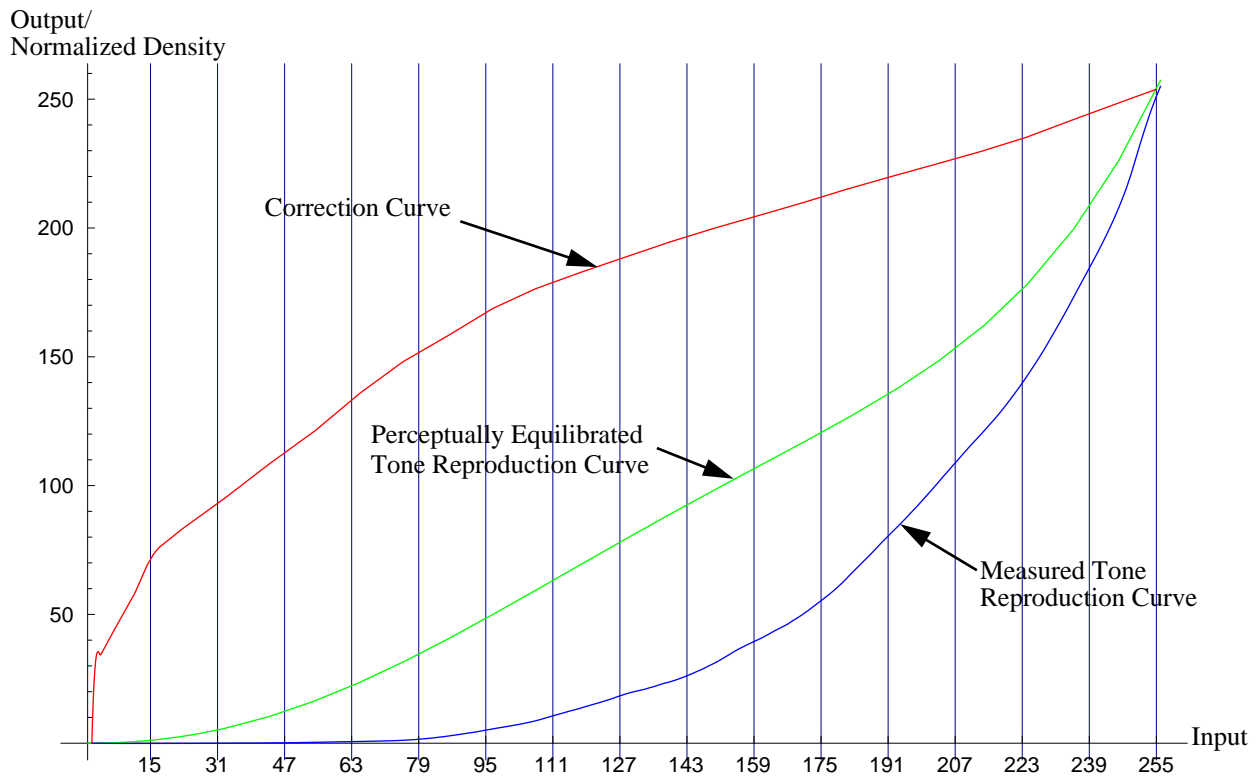


Intermediate intensity levels produced by dither tile based multi-level printing

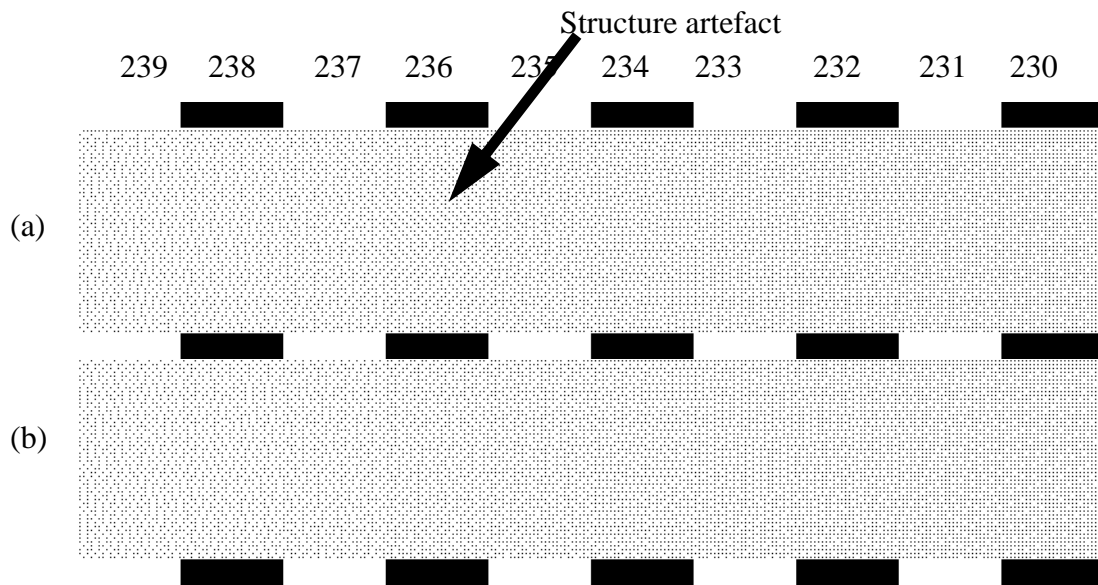
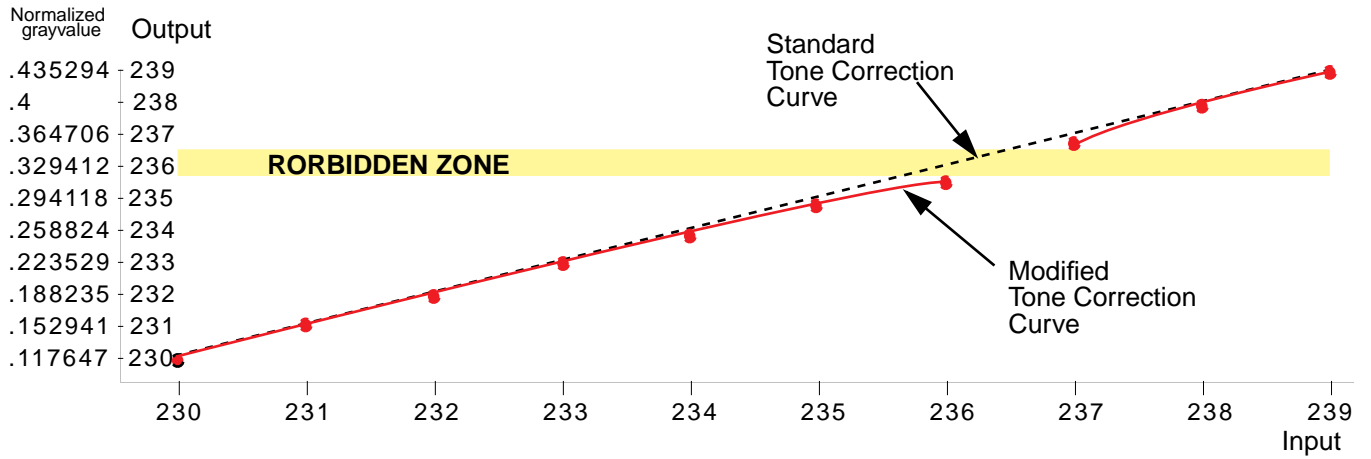


INTERMEDIATE LEVELS

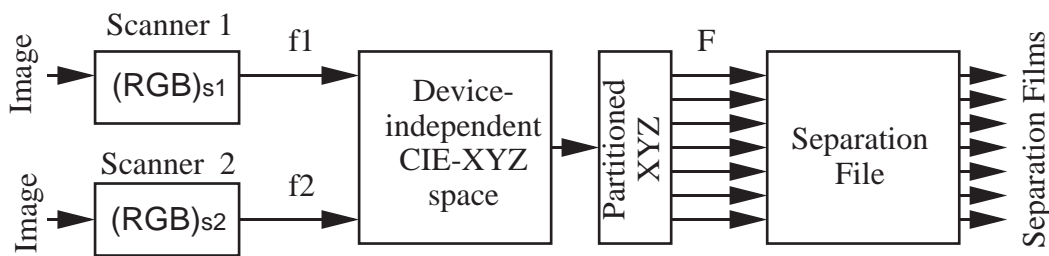
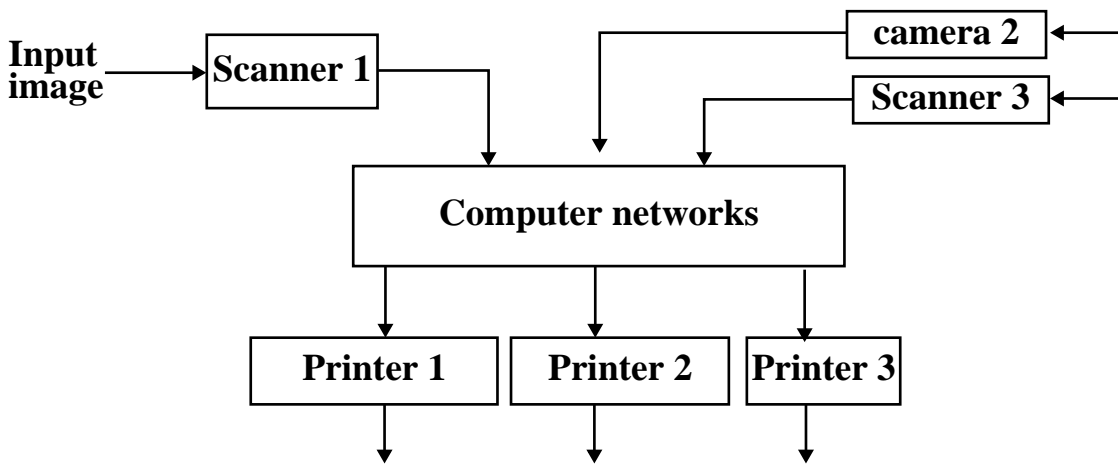
Tone Correction Curve



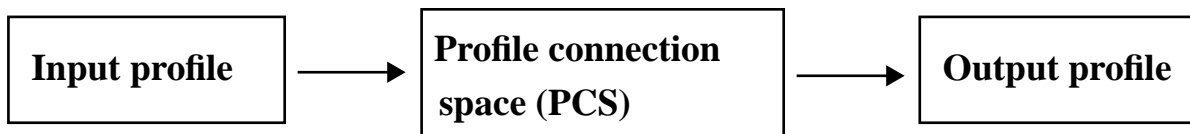
Avoiding Structure Artifacts



Calibration



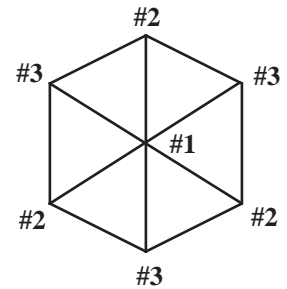
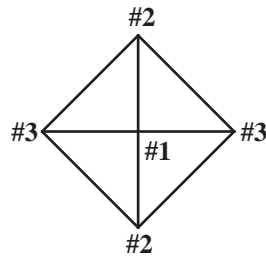
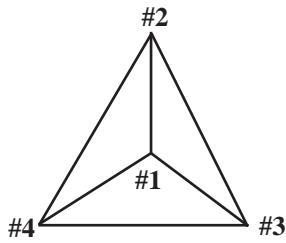
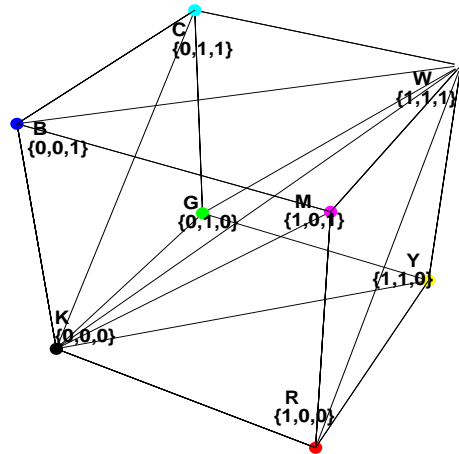
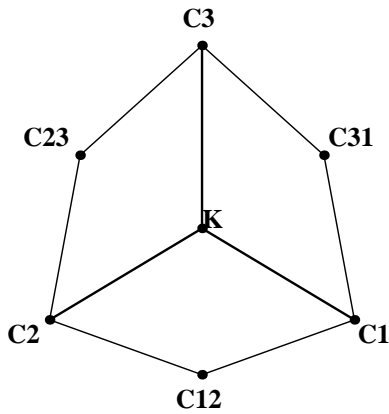
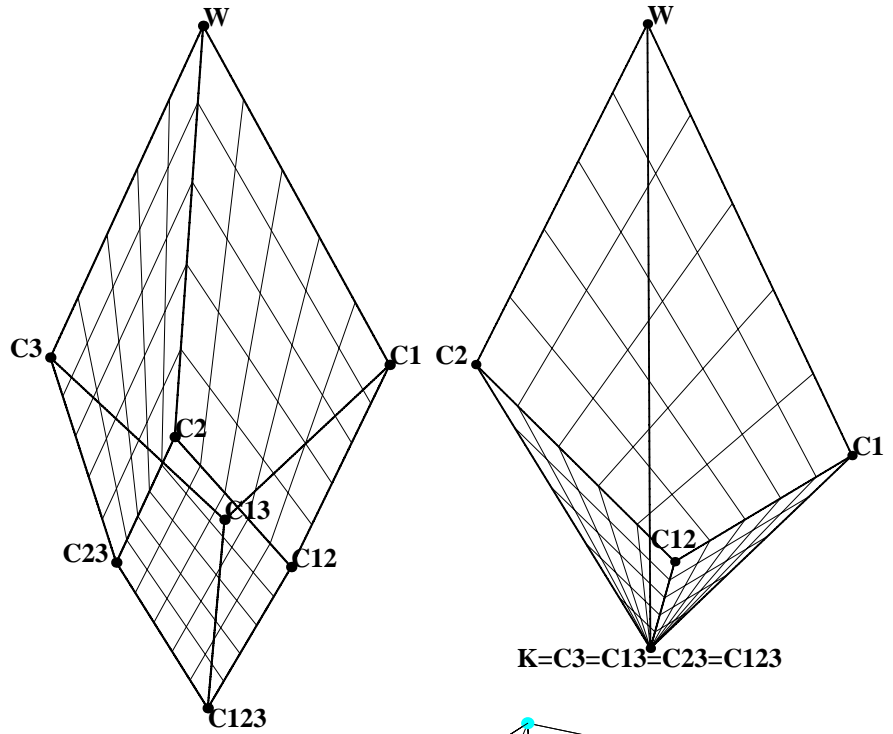
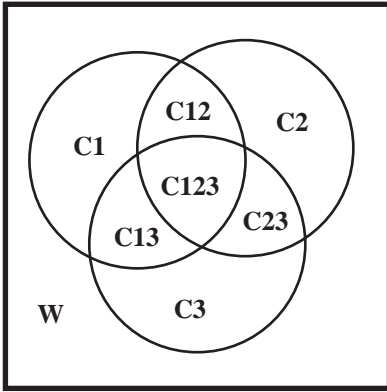
f1, f2: scanner calibrated RGB -> XYZ conversion function
 F: calibrated separation function



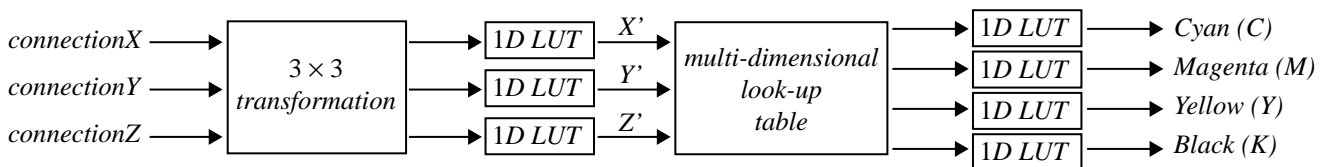
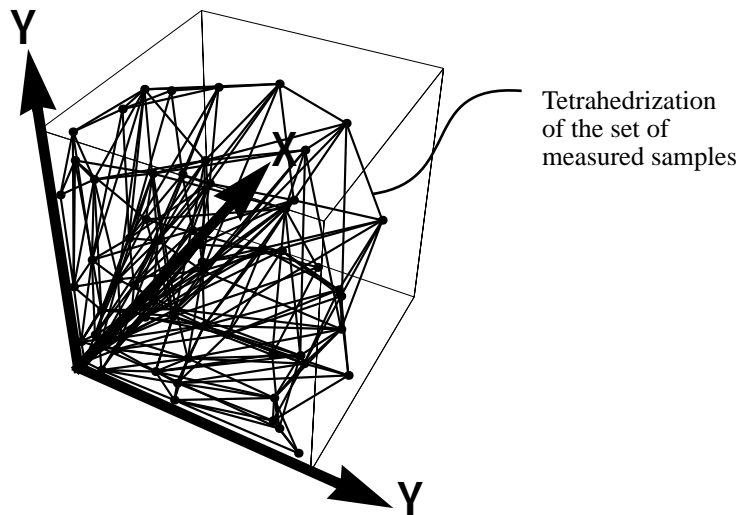
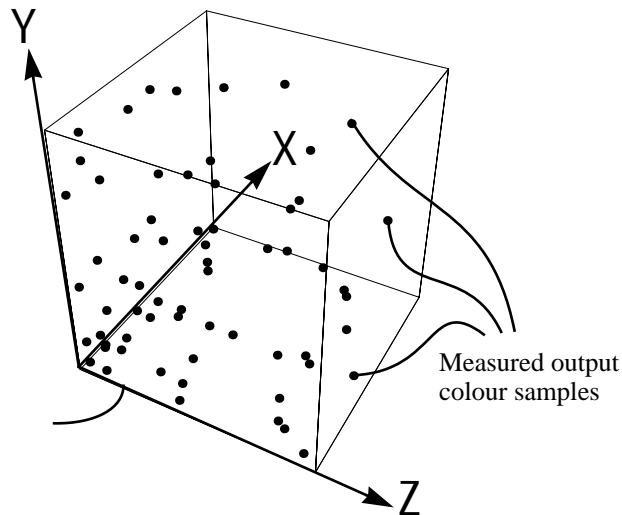
The ICC standard

International Colour Consortium
 (<http://www.color.org>)

Calibration (cont.)



Calibration (cont.)



ICC output device profiles

Conclusions

- **The choice between increasing printer's resolution and/or the number of colours should be the result of a trade-off between several criteria such as visual quality, cost, speed etc.**
- **Multi-color printing process may offer larger gamut compared with multi-level 4-ink process. As a counterpart, the calibration of a multi-color device may be more delicate.**
- **Multi-level/multi-color printing process requires specific halftoning technology, specific gamut mapping, specific ink-limit control, specific tone reproduction curve, and specific device calibration.**

REFERENCES

- T. Mitsa, K.J. Parker, "Digital halftoning technique using a blue-noise mask, *J.Opt.Soc.Am. A* 9(11), 1992, 1920-1929.
- R. Eschbach, "Reduction of artifacts in error diffusion by means of input-dependent weights", *Journal of Electronic Imaging*, Vol.2 , No. 4, Octobre 1993, 352-358.
- R.L. Miller, R.A. Morton (inventors), Image Processor with Smooth Transitioning between Dither and Diffusion Processes, US Patent 5'014'333, issued May 7, 1991, filed Jul. 21, 1988.
- B.E. Bayer, "An Optimum Method for Two-Level Rendition of Continuous-Tone Pictures", *IEEE 1973 International Conf. on Communications*, Vol. 1, 26.11 - 26-15
- R. Ulichney, *Digital Halftoning*, The MIT Press, Cambridge, Mass., 1987.
- J.F. Jarvis, C.N. Judice, W.H. Ninke, "A Survey of Techniques for the Display of Continuous-Tone Pictures on Bilevel Displays, " *Computer Graphics and Image Processing*, Vol 5, 1976, 13-40.
- Peter Stucki, "MECCA - A multiple error correcting computation algorithm for bilevel image hardcopy reproduction, Research Report RZ1060, IBM Res. Lab., Zurich, Switzerland, 1981.
- J.R. Sullivan, R.L. Miller, T.J. Wetzel (inventors), Color Digital Halftoning With Vector Error Diffusion, US Patent 5 070 413, issued Dec 3rd, 1991, filed Oct 10, 1989.
- Z.Fan, "Dot-to-dot Error Diffusion," *Journal of Electronic Imaging*, vol. 2, no. 1, 1993, pp. 62-66.
- Q. Yu, K.J. Parker, K. Spauding, R. Miller, "Improved digital multitone with over-modulation scheme", in *Color Imaging: Device-Independent Color, Color Hardcopy and Graphic Arts III*, SPIE Vol. 3300, p. 362-373, 1998.
- R.V. Klassen, R. Eschbach, K. Bharat, "Vector Error Diffusion in a Distorted Colour Space", Proc. of IS&T 47th Annual Conference, 1994, Reprinted in Recent Progress in Digital Halftoning, (Ed. R. Eschbach), IS&T, 1994, 63-65
- H. Haneishi, N. Shimoyama, Y. Miyake, "Color Digital Halftoning for Colorimetric Color Reproduction", Proc. IS&T, 10th International Congress on Advances in Non-Impact Printing Technologies, 1994, reprinted in Recent Progress in Digital Halftoning, (Ed. R. Eschbach), IS&T, 1994, 9-14
- V. Ostromoukhov, P. Emmel, N. Rudaz, I. Amidror, R.D. Hersch, Dithering Algorithms for Variable Dot Size Printers, Intl. Conf. on Image Processing (Ed. P. Delogne), Vol. 1, 1996, pp. 553-556.
- V. Ostromoukhov, P. Emmel, N. Rudaz, I. Amidror, R.D. Hersch, Multi-Level Colour Halftoning Algorithms. in *Imaging Sciences and Display Technologies*, Symposium on Advanced Imaging and Network Technologies, Proc. SPIE Vol. 2949, p. 332-340, 1996.
- Roy S. Berns. Spectral modeling of a dye diffusion thermal transfer printer. *Journal of Electronic Imaging*, 2(4):359-370, October 1993.
- Laurence Daligault and Philippe Archinard. Predictive model for color ink jet printing. In *Color hard-Copy and the Graphic Arts II*, volume 1912 of Proceedings of the SPIE, pages 133-141. SPIE, Belling-ham, WA, 1993.
- Patrick Emmel, Isaac Amidror, Victor Ostromoukhov, and Roger David Hersch. Predicting the spectralbehaviour of colour printers for transparent inks on transparent support. In Proceedings of the IS&T/SID Color Imaging Conference: Color Science, Systems and Applications, pages 86-91. Society for Imaging Science and Technology, Springfield, VA, 1996.
- M. D. Fairchild and R. S. Berns. Image color-appearance specification through extension of CIELAB. *Color Research and Application*, 18(3):178-190, June 1993.

- R. S. Gentile, E. Walowit, and J. P. Allebach. A comparison of techniques for color gamut mismatch compensation. *Journal of Imaging Technology*, 16(5):176–181, October 1990.
- Arthur C. Hardy and F. L. Wurzburg, Jr. Color correction in color printing. *Journal of the Optical Society of America*, 38(4):300–307, April 1948.
- Patrick G. Herzog and Bernhard Hill. A new approach to the representation of color gamuts. In *Proceedings of the IS&T/SID Color Imaging Conference: Color Science, Systems and Applications*, pages 78–81. Society for Imaging Science and Technology, Springfield, VA, 1995.
- R. W. G. Hunt. Revised colour-appearance model for related and unrelated colours. *Color Research and Application*, 16(3):146–165, June 1991.
- Kansei Iwata and Gabriel Marcu. Computer simulation of printed colors on textile materials. In *Color Hard Copy and Graphic Arts III*, volume 2171 of *Proceedings of the SPIE*, pages 228–238. SPIE, Bellingham, WA, 1994.
- Tony Johnson. A complete colour reproduction model for graphic arts. In *Proceedings of TAGA*, pages 1061–1076. Technical Association of the Graphic Arts, Rochester, NY, 1996.
- D. B. Judd and G. Wyszecki. *Color in Business, Science, and Industry*. John Wiley and Sons, New York, 1975.
- Henry R. Kang. Comparisons of color mixing theories for use in electronic printing. In *Proceedings of the IS&T/SID Color Imaging Conference: Transforms & Transportability of Color*, pages 78–82. Society for Imaging Science and Technology, Springfield, VA, 1993.
- Henry R. Kang. Applications of color mixing models to electronic printing. *Journal of Electronic Imaging*, 3(3):276–287, July 1994.
- Bernd W. Kolpatzik and Charles A. Bouman. Optimized universal color palette design for error diffusion. *Journal of Electronic Imaging*, 4(2):131–143, April 1995.
- Gustav Kortum. *Reflectance Spectroscopy: Principles, Methods, Applications*, chapter 4, pages 103–169. Springer, New York, 1969.
- P. Laihanen. Colour reproduction theory based on the principles of colour science. In *Proceedings of the IARIGAI Conference*, volume 19, pages 1–36. Pentech Press, London, 1987.
- Bruce J. Lindbloom. Accurate color reproduction for computer graphics applications. In *Proceedings of SIGGRAPH '89*, pages 117–126. ACM, New York, 1989.
- Yan Liu. Spectral reflectance modification of Neugebauer equations. In *Proceedings of TAGA*, pages 154–172. Technical Association of the Graphic Arts, Rochester, NY, 1991.
- Lindsay W. MacDonald. Gamut mapping in perceptual color space. In *Proceedings of the IS&T/SID Color Imaging Conference: Transforms & Transportability of Color*, pages 193–196. Society for Imaging Science and Technology, Springfield, VA, 1993.
- Marc Mahy and Paul Delabastita. Inversion of the Neugebauer equations. *Color Research and Application*, 21(6):401–411, December 1996.
- Gabriel Marcu and Satoshi Abe. Color designing and simulation in non-conventional printing process. In *Applications of Digital Image Processing XVII*, volume 2298 of *Proceedings of the SPIE*, pages 216–223. SPIE, Bellingham, WA, 1994.
- Ethan D. Montag and Mark D. Fairchild. Psychophysical evaluation of gamut mapping techniques using simple rendered images and artificial gamut boundaries. *IEEE Transaction on Image Processing*, 6(7):977–989, July 1997.
- H. E. J. Neugebauer. *Die Theoretischen Grundlagen des Mehrfarben-edruckes (The theoretical foundation for multicolor printing)*. *Zeitschrift Wissenschaften Photography*, pages 73–89, 1937. Reprinted in Sayanai [29], pages 194–202.
- Victor Ostromoukhov. Chromaticity gamut enhancement by heptatone multi-color printing. In *Device-*

- Independent Color Imaging and Imaging Systems Integration, volume 1909 of Proceedings of the SPIE, pages 139–151. SPIE, Bellingham, WA, 1993.
- Joanna L. Power, Brad S. West, Eric J. Stollnitz, and David H. Salesin. Reproducing color images as duotones. In Proceedings of SIGGRAPH 96, pages 237–248. ACM, New York, 1996.
- William H. Press, Brian P. Flannery, Saul A. Teukolsky, and William T. Fetterling. Numerical Recipes. Cambridge University Press, New York, second edition, 1992.
- Kazuo Sayanai, editor. Neugebauer Memorial Seminar on Color Reproduction, volume 1184 of Proceedings of the SPIE. SPIE, Bellingham, WA, 1990.
- Kevin E. Spaulding, Richard N. Ellson, and James R. Sullivan. UltraColor: A new gamut mapping strategy. In Device-independent color imaging II, volume 2414 of Proceedings of the SPIE, pages 61–68. SPIE, Bellingham, WA, 1995.
- Maureen C. Stone, William B. Cowan, and John C. Beatty. Color gamut mapping and the printing of digital color images. ACM Transactions on Graphics, 7(4):249–292, October 1988.
- Atsushi Takaghi, Toru Ozeki, Yoshinori Ogata, and Sachie Minato. Faithful color printing for computer generated image syntheses with highly saturated component inks. In Proceedings of the IS&T/SID Color Imaging Conference: Color Science, Systems and Applications, pages 108–111. Society for Imaging Science and Technology, Springfield, VA, 1994.
- J. A. Stephen Viggiano. Modeling the color of multi-colored halftones. In Proceedings of TAGA, pages 44–62. Technical Association of the Graphic Arts, Rochester, NY, 1990.
- M. Wolski, J. P. Allebach, and C. A. Bouman. Gamut mapping: Squeezing the most out of your color system. In Proceedings of the IS&T/SID Color Imaging Conference: Color Science, Systems and Applications, pages 89–92. Society for Imaging Science and Technology, Springfield, VA, 1994.
- Eric J. Stollnitz, V. Ostromoukhov and David H. Salesin. Reproducing Color Images Using Custom Inks. In Proceedings of SIGGRAPH 98.
- S.Gustavson, Dot Gain in Colour Halftones, Image Processing Lab, Dept. of Electrical Eng., Linköping University, Sweden. September 1997.
- S.Gustavson, "Color imaging on scattering media", IS&T/SPIE Symposium on Electronic Imaging, San Jose, January 1996.
- S.Gustavson, "Halftone Color", tutorial at OSA Annual Meeting in Rochester, September 1996
- S.Gustavson, "The Color Gamut of Halftone Reproduction", at the IS&T Conference on Digital Printing (NIP12), San Antonio, October 1996
- S.Gustavson, "The Color Gamut of Halftone Reproduction", at the IS&T/SID 4th Color Imaging Conference, Scottsdale, November 1996