

## Equational theories

## Back to $\mathcal{F}$ -algebras...

**Precongruence:** relation  $\sim$  on  $\mathcal{F}$ -algebra  $A$  compatible with structure.

$\forall f : s_1 \times \dots \times s_n \rightarrow s, \forall t_1, \dots, t_n, u_1, \dots, u_n$  such that  $t_i, u_i \in A_i$ ,  
 $t_1 \sim u_1 \wedge t_n \sim u_n \implies f_A(t_1, \dots, t_n) \sim f_A(u_1, \dots, u_n)$

**Congruence:** precongruence + equivalence

$\rightsquigarrow$  quotient

For  $E$  set of equations on  $\mathcal{T}((\mathcal{F}), X)$ ,

$=_E$  smallest congruence such that  $\forall \sigma, \forall s = t \in E, s\sigma =_E t\sigma$

## Equational theory

AC

A particular one: **AC**

Efficiency... Power of expression...

$$\text{Axioms: } f \in \mathcal{F}_{AC} \left\{ \begin{array}{l} f(x, y) = f(y, x) \\ f(f(x, y), z) = f(x, f(y, z)) \end{array} \right\} =_{AC}$$

**Many** changes (unification... termination...)

Flattened variadic terms:  $a + (b + c) = (a + b) + c = a + b + c$

## Equational theory

AC

**Variadic:**

- $x \in X \implies x \in T_{var}(\mathcal{F}, X)$
- $f \notin \mathcal{F}_{AC}$  of arity  $n$ ,  
 $t_1, \dots, t_n \in T_{var}(\mathcal{F}, X) \implies f(t_1, \dots, t_n) \in T_{var}(\mathcal{F}, X)$
- $f \in \mathcal{F}_{AC}, t_1, \dots, t_n \in T_{var}(\mathcal{F}, X), n \geq 2 \implies f(t_1, \dots, t_n) \in T_{var}(\mathcal{F}, X)$

- Commutativity  $\rightsquigarrow$  permutation
- Associativity  $\rightsquigarrow$  insertion arg

## Equational theory

AC

**Flattening:**  $flat : T_{var} \rightarrow T_{var}$

- $f \in \mathcal{F} \setminus \mathcal{F}_{AC}, flat(f(t_1, \dots, t_n)) = f(flat(t_1), \dots, flat(t_n))$
- $g \in \mathcal{F}_{AC}, flat(g(s_1, \dots, s_n)) = g(t_{1,1}, \dots, t_{1,k_1}, \dots, t_{n,1}, \dots, t_{n,k_n})$  where
  - $s_i(\Lambda) \neq g: k_i = 1, t_{i,1} = s_i$
  - $s_i(\Lambda) = g: g(t_{i,1}, \dots, t_{i,k_i}) = flat(s_i)$

Notation  $flat(s): \bar{s}$

AC: congruence of permutation on flattened terms

## Equational theory AC-rewriting, Peterson & Stickel

$s$  rewrites to  $t$  modulo AC (at pos.  $p$ ):

$$\exists C, p, \sigma, l \rightarrow r \in R \text{ such that } s =_{AC} C[l\sigma]_p \quad C[r\sigma]_p =_{AC} t$$

$R/AC$

Imprecise (size of class...)  $\rightsquigarrow$  weaker relation

$$s \xrightarrow{AC \setminus R} t \text{ iff } \exists p, \sigma, l \rightarrow r \in R, s|_p =_{AC} l\sigma \quad t \equiv s[r\sigma]_p$$

$a + b \rightarrow c$  what with  $(a + c) + b \dots$

coherence?

$\rightsquigarrow$  extension rules: for  $l_1 + l_2 \rightarrow r$  add  $l_1 + (l_2 + x) \rightarrow r + x$

## Equational theory AC-rewriting, Peterson & Stickel

Here: AC-extended on flattened terms (à la P & S):  $s \rightarrow t$

- $s|_p =_{AC} \overline{l\sigma}, \quad t = \overline{s[r\sigma]_p}$ ,
- $s|_p =_{AC} \overline{f(l_1, \dots, l_n, x)\sigma}, \quad t = \overline{s[f(r, x)\sigma]_p} \quad l = f(l_1, \dots, l_n)$

### Theorem.

$R/AC$  terminating iff  $ACflat \setminus R$  terminating

(exercise)

## AC-Termination

## orderings

Must be compatible

$$\begin{array}{ccc} s & \succ & t \\ \parallel_{AC} & & \parallel_{AC} \\ s' & \succ & t' \end{array} \quad \begin{array}{ccc} s & \geq & t \\ \parallel_{AC} & & \parallel_{AC} \\ s' & \geq & t' \end{array}$$

## AC-Termination

## semantical orderings

Must be compatible... Polynomials

$$\begin{aligned} P(X, Y) &= P(Y, X) \\ P(P(X, Y), Z) &= P(X, P(Y, Z)) \end{aligned}$$

If over commutative ring:  $aXY + b(X + Y) + c$  with  $b^2 = b + ac$

In practice: linear/simple, possibly simple-mixed

$$\left\{ \begin{array}{l} x + 0 \rightarrow x \\ x + s(y) \rightarrow s(x + y) \end{array} \right. \quad \mathcal{F}_{AC} = \{+\} \quad \begin{array}{l} \llbracket + \rrbracket(x, y) = yx + 2(y + x) + 2 \\ \llbracket s \rrbracket(x) = x + 1 \\ \llbracket 0 \rrbracket = 0 \end{array}$$

## AC-Termination

## syntactical orderings

### Path orderings

$$f(x, y) >_{RPO} g(x, y) \quad f >_{\text{prec}} g$$

**But**  $f(x, y, z) <_{RPO} f(g(x, y), z)$

Not monotone!  $\rightsquigarrow$  normalisation by other systems... , new orderings...

**APO** [Bachmair & Plaisted]

$$\text{Associative Path Cond.: } \forall f \in \mathcal{F}_{AC} \begin{cases} f \text{ minimal in } \mathcal{F} & \text{or} \\ \exists g \in \mathcal{F}_{AC} \text{ s.t. } f \text{ minimal} \in \mathcal{F} \setminus \{g\} \end{cases}$$

**Normalisation**  $D$  **convergent**:

$$\forall f >_{\text{prec}} g \in \mathcal{F}_{AC} \quad f(g(x, y), z) \rightarrow g(f(x, z), f(y, z))$$

$$\text{APO: } s >_{APO} t \iff \overline{s \downarrow_D} >_{RPO} \overline{t \downarrow_D} \quad (\text{monotone, simplifying})$$

A path ordering for AC without normalisation system: **ACRPO** [Rubio]

## AC-Termination

## AC-DP

For now **without marks**

**Definition.**

For a  $f(t_1, \dots, t_n) \rightarrow r$ ,

**AC-dependency pairs:** vanilla DP + DP from  $f(t_1, \dots, t_n, x) \rightarrow \overline{f(r, x)}$   
with fresh  $x$  (when necessary)

$$\begin{array}{ll} x + 0 & \rightarrow x & x \times 0 & \rightarrow 0 \\ x + s(y) & \rightarrow s(x + y) & x \times s(y) & \rightarrow (x \times y) + x \end{array}$$

## AC-Termination

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## AC-Termination

AC-DP

Minimality?  $u = f(u_1, \dots, u_n) \leq t$  immortal

- $u$  immortal
- $u_i$  all mortal
- $f$  defined
- If  $f \in \mathcal{F}_{AC}$ ,  $u = f(u', u'')$  with  $f(u')$  immortal and  $f(u' \setminus \{u_i\})$  mortal

$\rightsquigarrow$  parameters unavoidable

## AC-Termination

AC-DP

**Lemma.**

$t$  immortal for  $R$  (modulo AC) then  $\exists p, p', C, C', \langle s_1, s_2 \rangle, \sigma$  s.t.

$$t \xrightarrow[R]{>p^*} C[s_1\sigma]_p \xrightarrow[R]{p} C[C'[s_2\sigma']_p]_p \text{ with } s_2\sigma \text{ immortal}$$

**Theorem.**

$R$  AC-terminating iff  $\rightarrow DP_{AC}(R), R$  AC-terminating

AC and modules? sweet as...

Marking DP? **nightmarish**: marked symbols  $\notin \mathcal{F}_{AC}$