

## Term Rewriting

Rewriting rule : couple of terms  $s \rightarrow t$  (oriented equation)

$$s \xrightarrow[l \rightarrow r, \sigma]{p} t \quad \text{if} \quad s|_p \equiv l\sigma \quad t \equiv s[r\sigma]_p$$

Rewriting system : set of rules

Relation  $\xrightarrow[R]{p} : s \xrightarrow[R]{p} t$  iff  $\exists l \rightarrow r \in R, \exists p \in \mathcal{Pos}(s), \exists \sigma, s \xrightarrow[l \rightarrow r, \sigma]{p} t$

Actually, system extension by *monotony* and *stability*

Reflexive/transitive closure :  $\xrightarrow[R]{*}$

Transitive closure :  $\xrightarrow[R]{+}$

Converse :  $\xleftarrow[R]$

Reflexive/symmetric/transitive :  $\xleftrightarrow[R]{*}$

## Rewriting Example

Binary arithmetics

6 seen as : #110. Set of variables :  $X = \{x ; y \dots\}$ ;

Signature :  $\mathcal{F} = \{\# : 0 ; 0 : 1 ; 1 : 1 ; + : 2\}$ ;

Set of rules.

$$\left\{ \begin{array}{ll} \#0 & \rightarrow \# \\ \# + x & \rightarrow x \quad x + \# \rightarrow x \\ x0 + y0 & \rightarrow (x + y)0 \quad x1 + y0 \rightarrow (x + y)1 \\ x0 + y1 & \rightarrow (x + y)1 \quad x1 + y1 \rightarrow ((x + y) + \#1)0 \end{array} \right.$$

Relation  $\rightarrow$  **monotonic** : if  $s \rightarrow t$  then  $C[s] \rightarrow C[t]$ ;

**stable** : if  $s \rightarrow t$  then  $s\sigma \rightarrow t\sigma$ .

#10 + #1  $\rightarrow$  (#1 + #)1  $\rightarrow$  (#1)1 **STOP**

## Term Rewriting

Computing power : Turing complete

Questions :

- **Existence** of result  $\rightsquigarrow$  termination
- **Unicity** of result  $\rightsquigarrow$  confluence, convergence

## Term Rewriting

Questions :

- **Existence** of result  $\rightsquigarrow$  termination
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$t$  **normal form** for  $R$  : **no**  $u$  such that  $t \xrightarrow[R]{} u$

$t$  **normal form** of  $s$  for  $R$  : **no**  $u$  such that  $t \xrightarrow[R]{} u$  and  $s \xrightarrow[R]{*} t$

$\rightsquigarrow s$  **normalisable**

System **normalising** : every term normalisable

System **strongly** normalising : every **derivation**  $\rightsquigarrow$  normal form

## Term Rewriting

Questions :

- Existence of result  $\rightsquigarrow$  termination
- **Unicity** of result  $\rightsquigarrow$  confluence, convergence

$R$  Church-Rosser :  $u \leftrightarrow^* v \Rightarrow \exists t, u \rightarrow^* t \leftarrow^* v$

$R$  confluent :  $u \leftarrow^* s \rightarrow^* v \Rightarrow \exists t, u \rightarrow^* t \leftarrow^* v$

$R$  locally confluent :  $u \leftarrow s \rightarrow v \Rightarrow \exists t, u \rightarrow^* t \leftarrow^* v$

## Term Rewriting

### Example

$$x \cdot e \rightarrow x$$

$$x \cdot I(x) \rightarrow e$$

$$(x \cdot y) \cdot z \rightarrow x \cdot (y \cdot z)$$

$$x \cdot (I(x) \cdot z) \leftarrow (x \cdot I(x)) \cdot z \rightarrow e \cdot z$$

## Term Rewriting

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$\Updownarrow ?$

$R$  confluent :  $u \leftarrow^* s \rightarrow^* v \Rightarrow \exists t, u \rightarrow^* t \leftarrow^* v$

$\Updownarrow \times$

$R$  locally confluent :  $u \leftarrow s \rightarrow v \Rightarrow \exists t, u \rightarrow^* t \leftarrow^* v$

Questions :

- Existence of result  $\rightsquigarrow$  termination
- **Unicity** of result  $\rightsquigarrow$  confluence, convergence

But...

**Theorem.** (Newman's lemma)

Local confluence  $\Leftrightarrow$  confluence for **strongly normalising** relations

## Term Rewriting

### Decidability

Given : finite system  $R$

Question :  $R$  confluent ?

**Undecidable**

(red. word pb.)

## Term Rewriting

**Critical pair** :  $r\rho\sigma = (l\rho[d]_p)\sigma$  where

- $l \rightarrow r \in R, \quad g \rightarrow d \in R$
- $p$  position **non variable** of  $l$
- $\rho$  renaming of  $l$
- $\sigma$  most general unifier of  $l|_p$  and  $g$  (rule superposition)

Set of critical pairs of  $R$  :  $PC(R)$

### Theorem.

Local confluence of pairs of  $PC(R)$   $\Leftrightarrow$  local confluence of  $R$

$\rightsquigarrow$  **Decidable** for strongly normalising finite systems

## CP-Lemma

### Theorem.

Local confluence of pairs of  $PC(R)$   $\Leftrightarrow$  local confluence of  $R$

**Proof** :  $\Leftarrow$  trivial.

$\Rightarrow$  :  $u, s, t$  terms such that  $u \rightarrow_{l \rightarrow r, \sigma}^p s$  and  $u \rightarrow_{g \rightarrow d, \tau}^q t$

- $p$  not prefix of  $q$  (and  $q$  not prefix of  $p$ )  
 $\rightsquigarrow$  commutation, no problem
- $q$  inside substitution ( $q = p \cdot q_1 \cdot q_2$  and  $l(q_1) \in X$ )  
 $\rightsquigarrow$  rewrite **ALL** instances of such  $q$  by  $g \rightarrow d$  then at  $p$  by  $l \rightarrow r$ ,  
(same as first  $l \rightarrow r$  then all  $g \rightarrow d$ )
- $p, q$  critical ( $g\tau$  somewhere in  $l\sigma$  outside  $\sigma$ )  
 $\rightsquigarrow$  assumption local confluence of CP

## Back to Termination then...

- **Existence** of result  $\rightsquigarrow$  termination
- Unicity of result  $\rightsquigarrow$  confluence, convergence

## Termination

**Fundamental** property :

- Inductions,
- Totality of functions,
- Preliminary,
- Selfstabilisation, liveness, etc.

In this lecture : **first order term rewriting**

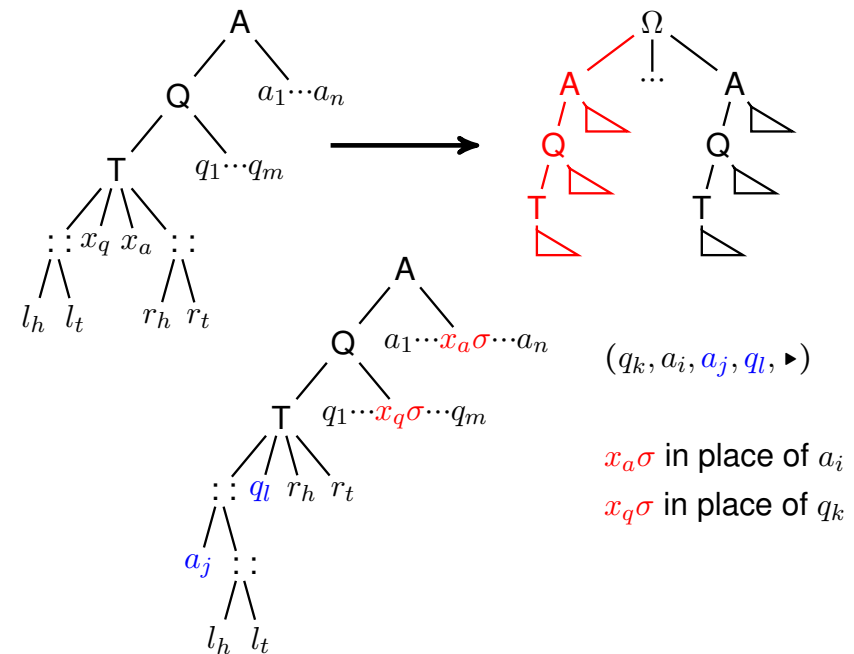
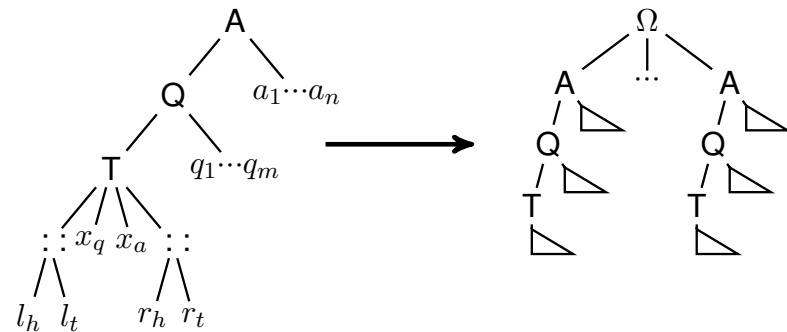
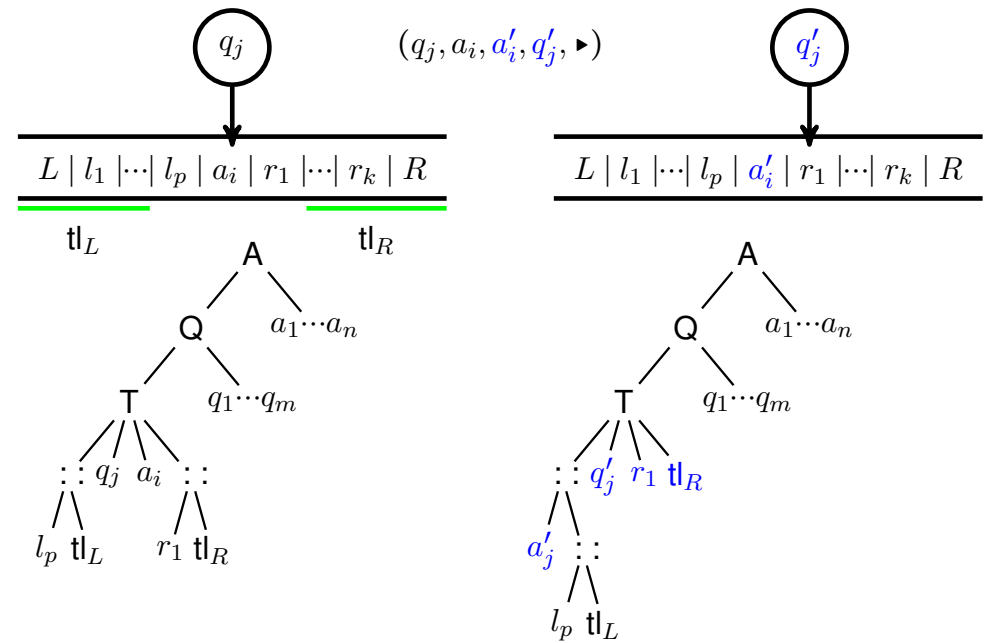
Enough ? Turing complete

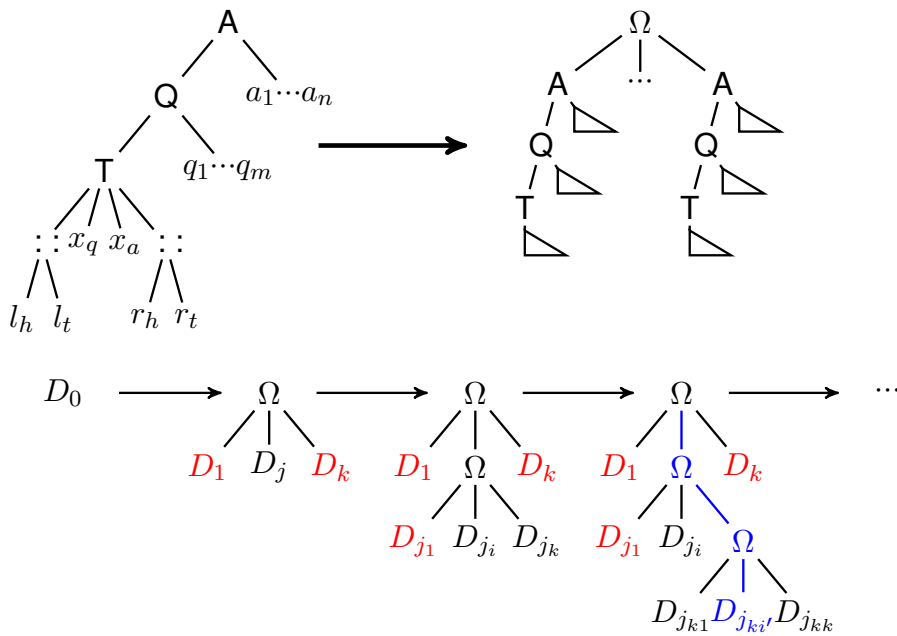
# Termination

Automation?  $\rightsquigarrow$  correct, incomplete...

Always difficult

- $f(f(x)) \rightarrow f(x)$
- $f(a, b, x) \rightarrow f(x, x, x)$
- > 50 rules + equational theories
- > 1800 rules (> 1000 symbols)
  - $a(a(x)) \rightarrow b(c(x))$
  - $b(b(x)) \rightarrow a(c(x))$
  - $c(c(x)) \rightarrow a(b(x))$
- Syracuse...





## Termination

Termination of TRS = well-foundedness of relation (WF)  $\rightsquigarrow$  « measure »

How?  $\mathcal{R} \subseteq O \wedge WF(O) \Rightarrow WF(\mathcal{R})$

$$\mathcal{R}, O, f \text{ such that } s \mathcal{R}^+ t \Rightarrow f(s) O^+ f(t) \wedge WF(O) \Rightarrow WF(\mathcal{R}).$$

$$(WF(O) \Rightarrow WF(O^+) \Rightarrow WF(O^+ \circ f) \Rightarrow WF(\mathcal{R}^+))$$

Problem transformation until : pb. trivial, pb. inclusion

$$\text{RULE NAME(PARAM)} \frac{p_1 \dots p_n}{p} \text{ CONDITIONS}$$

Transf. correct and complete : **critérium**  $\rightsquigarrow$  **termination constraints**

Inclusion : **ordering constraints**

## Termination

Termination of TRS = well-foundedness of relation (WF)  $\rightsquigarrow$  « measure »

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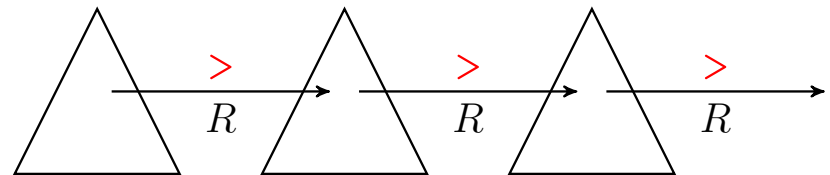
$$(WF(O) \Rightarrow WF(O^+) \Rightarrow WF(O^+ \circ f) \Rightarrow WF(\mathcal{R}^+))$$

Problem transformation until : pb. trivial, pb. inclusion

$$\begin{array}{l} < \frac{\text{<}_1 \text{ (poly. interp.)}}{\{\dots \langle u'_n, v'_n \rangle \dots\} \text{ SN?}} < \frac{\text{<}_2 \text{ (RPO)}}{\frac{\{\dots \langle u''_k, v''_k \rangle \dots\} \text{ SN?} \quad \{\dots \langle u''_j, v''_j \rangle \dots\} \text{ SN?}}{\{\dots \langle u'_i, v'_i \rangle \dots\} \text{ SN?}}} \text{ GRAP} \\ & \text{GRAP} \\ & \mathcal{R}_{\text{DP}} = \{\dots \langle u_i, v_i \rangle \dots\} \text{ SN?} \\ & \text{DP} \\ & \mathcal{R}_{\text{init}} = \{\dots l_i \rightarrow r_i \dots\} \text{ SN?} \end{array}$$

## Termination

Inclusion  $\rightarrow_{R \subseteq} : s > t$  for all  $s \rightarrow_R t$



Infinitely many  $s \rightarrow t \rightsquigarrow$  automation?

Test finite?

## Termination – Manna-Ness

Idea : ordering on **rules** stable through **closures** giving relation

**Theorem.** (Lankford)

- $R$  a TRS  $\{l_1 \rightarrow r_1, \dots, l_n \rightarrow r_n\}$ ,
- $<$  such that  $WF(<)$ ,  $<$  **stable** and **monotone**,

then  $(\forall i, l_i > r_i) \Rightarrow SN(\rightarrow_R)$ .

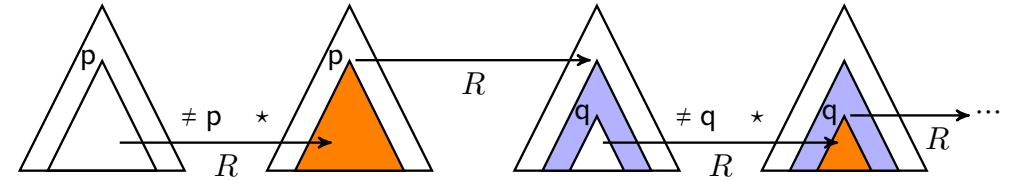
### Example

$$\left\{ \begin{array}{l} x + 0 \rightarrow x \\ x + s(y) \rightarrow s(x + y) \end{array} \right\} \quad \llbracket s \rrbracket >_e \llbracket t \rrbracket \text{ with } \begin{array}{l} \llbracket 0 \rrbracket = 1 \\ \llbracket s \rrbracket(x) = x + 1 \\ \llbracket + \rrbracket(x, y) = x + 2y \end{array}$$

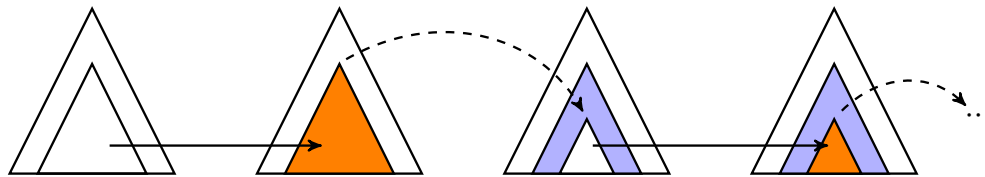
$$\llbracket x + 0 \rrbracket = x + 2 >_e \llbracket x \rrbracket = x$$

$$\llbracket x + s(y) \rrbracket = x + 2y + 2 >_e \llbracket s(x + y) \rrbracket = x + 2y + 1$$

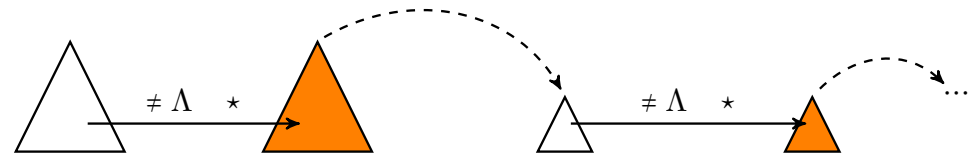
## Termination – DP



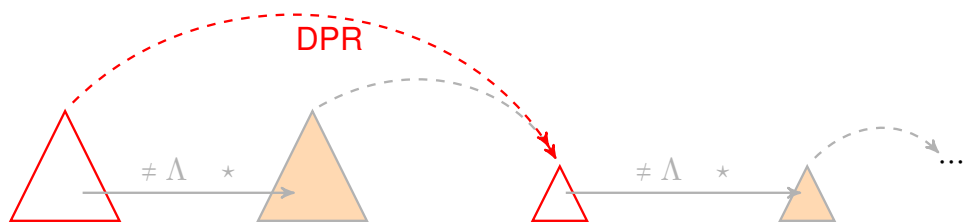
## Termination – DP



## Termination – DP



## Termination – DP



## Termination – DP

### Definition

Given a TRS  $R$ ,

$$\mathcal{F} = D \uplus C \quad D : \{f \in \mathcal{F} \mid \exists (l \rightarrow r) \in R, l(\Lambda) = f\}$$

$D$  : defined (functions)  $C$  : constructors (data)

### Definition

Given a rule  $l \rightarrow r$ ,

Dependency pair : couple  $\langle u, v \rangle$

- $u = l$
- $v = r|_p$  such that  $r(p) \in D$

Set of dependency pairs of a TRS  $R$  :  $DP(R)$

## Termination – DP

### Example

$$D = \{0, +\} \quad C = \{\#, 1\}$$

$$\mathcal{F} = \{\#, 0, 1, +\}$$

$$\left\{ \begin{array}{ll} \#0 & \rightarrow \# \\ \# + x & \rightarrow x \\ x0 + y0 & \rightarrow (x + y)0 \\ x0 + y1 & \rightarrow (x + y)1 \end{array} \quad \begin{array}{ll} x + \# & \rightarrow x \\ x1 + y0 & \rightarrow (x + y)1 \\ x1 + y1 & \rightarrow ((x + y) + \#1)0 \end{array} \right\}$$

$$\langle x1 + y1, x + y \rangle$$

$$\langle x1 + y0, x + y \rangle$$

$$\langle x0 + y1, x + y \rangle$$

$$\langle x0 + y0, x + y \rangle$$

$$\langle x0 + y0, (x + y)0 \rangle$$

$$\langle x1 + y1, (x + y) + \#1 \rangle$$

$$\langle x1 + y1, ((x + y) + \#1)0 \rangle$$

## Termination – DP

### Example

$$\{f(f(x)) \rightarrow f(g(f(x)))\} \quad D = \{f\} \quad C = \{g\}$$

$$\langle f(f(x)), f(g(f(x))) \rangle \quad \langle f(f(x)), f(x) \rangle$$

what about derivations ?

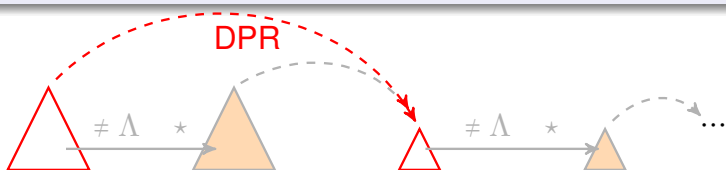
## Termination – DP

### Definition

**Dependency chain** : sequence of DP, subst.  $\sigma$  such that

$$\dots \quad \langle u_i, v_i \rangle \quad \langle u_{i+1}, v_{i+1} \rangle \quad \dots$$

$$v_i \sigma \xrightarrow{\neq \Lambda^*} u_{i+1} \sigma \quad v_{i+1} \sigma$$



## Termination – DP

### Definition

**Dependency chain** : sequence of DP, subst.  $\sigma$  such that

$$\dots \quad \langle u_i, v_i \rangle \quad \langle u_{i+1}, v_{i+1} \rangle \quad \dots$$

$$v_i \sigma \xrightarrow{\neq \Lambda^*} u_{i+1} \sigma$$

### Theorem. (A & G)

$\text{SN}(\rightarrow_R) \Leftrightarrow$  **no** infinite chain over  $\text{DP}(R)$

Rephrased :  $\text{SN}(\rightarrow_R) \Leftrightarrow \text{SN}(\rightarrow_{\text{DP}(R),R})$

## Termination – DP

**Rk.** —  $R = \{f(f(x)) \rightarrow h(f(x)), g(x) \rightarrow f(x)\}$       $D = \{f, g\}$       $C = \{h\}$

$\text{DP}(R) = \{\langle f(f(x)), f(x) \rangle, \langle g(x), f(x) \rangle\}$

$\text{SN}(\rightarrow_R) ? \rightsquigarrow \text{SN}(\xrightarrow[\text{DP}(R)]{\neq \Lambda^*} \cdot) ?$

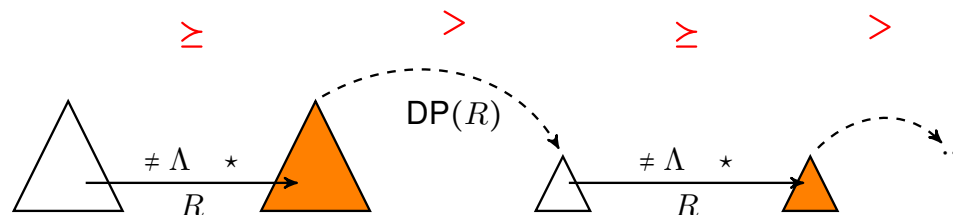
$(\rightsquigarrow \text{SN}(\rightarrow_{\text{DP}(R),R}) ?)$

With **minimal** chains...

$f(f(x))\sigma$  **NOT** minimal : **irrelevant**

$\text{SN}(\rightarrow_R) \Leftrightarrow \text{SN}(\rightarrow_{\langle g(x), f(x) \rangle, R})$

## Termination – DP, control



### Theorem. (A & G)

If  $(\geq, >)$  such that

- ①  $\geq \cdot > \subseteq >$ ,  $\text{WF}(<)$ ,  $\geq$  monotone, stable,  $>$  stable, (**monotony useless**)
- ②  $l \geq r$  for each  $l \rightarrow r \in R$ ,
- ③  $u > v$  for each  $\langle u, v \rangle \in \text{DP}(R)$ ,

then  $\text{SN}(\rightarrow_R)$